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Characterization and Limitations of Diffusion Tensor Imaging Metrics in The Cervical Spinal Cord in Neurologically Intact Subjects

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Shekar N. Kurpad
Abstract

Purpose
To characterize diffusion tensor imaging (DTI) metrics across all levels of the cervical spinal cord (CSC) and to study the impact of age and signal quality on these metrics.

Materials and Methods
DTI metrics were calculated for gray matter (GM) and white matter (WM) funiculi throughout the CSC (C1–T1) in 25 healthy subjects (22–85 years old). Signal-to-noise ratios (SNRs) and mean DTI metrics were measured for the upper (C1–3), middle (C4–6) and lower (C7–T1) cervical segments. Age-related changes in DTI metrics were analyzed for the individual segment groups.

Results
Fractional anisotropy (FA), mean diffusivity (MD) and transverse apparent diffusion coefficient (tADC) showed significant differences between GM and WM funiculi. Significant age-related changes were observed in FA in upper and middle CSC segments but not in the lower CSC. The median SNR was significantly lower in the middle and lower segment groups as compared to the upper levels, contributing to poor spatial resolution in these regions.

Conclusion
This study provides DTI data for GM and WM funiculi throughout the CSC. While DTI metrics may be used to define cord pathology, variations in metrics due to age and signal quality need to be accounted for before making definitive conclusions. J. Magn. Reson. Imaging 2013;38:861–867. © 2013 Wiley Periodicals, Inc.

DIFFUSION TENSOR IMAGING (DTI) provides measures of water molecule diffusion within tissues 1. In neural tissues, water molecules move preferentially along the longitudinal axis of axons. This predominantly unidirectional diffusion of water molecules, termed anisotropy, is characteristic of parallel axonal bundles 2. In the spinal cord, white matter tracts show high anisotropy, while gray matter, which is predominantly comprised of neuronal cell bodies, demonstrates low anisotropy. The microstructural architecture of the spinal cord produces differences in anisotropy that are visualized on DTI maps, and quantified using DTI metrics.

Prior studies have shown DTI to be a useful imaging technique to characterize spinal cord microstructure in humans 3-6. In the cervical spinal cord (CSC), DTI metrics are able to distinguish white matter funiculi and gray matter 7-9. DTI metrics in the CSC are also sensitive to age-related microstructural changes 10-13. However, the majority of previous studies have evaluated only a few cervical segments in the CSC and therefore, it is unclear if DTI is uniformly sensitive to microstructural anatomy throughout CSC. With the increasing use of DTI in patients with cervical cord pathology, it is essential to determine the current capabilities and limitations of CSC DTI.

To better understand DTI metrics in the cervical cord, we characterized the diffusivities of the central gray matter and individual white matter funiculi across all levels of the cervical cord in a set of neurologically intact subjects. We analyzed how DTI metrics were affected by age and signal quality in different cervical segments.
MATERIALS AND METHODS

Subjects
Informed consent was obtained before enrolling subjects, and the procedure was approved by the appropriate Institutional Review Boards. We selected 25 subjects with a normal neurological exam and no medical implants. At least one subject was chosen for each decade between 20 and 90 years.

Image Acquisition
Diffusion weighted images were obtained throughout the cervical spine (C1–T1) using a single-shot, twice-refocused, spin-echo echo planar image (SE-EPI) pulse sequence. Images were acquired on a 1.5 Tesla (T) clinical MR scanner (Signa Excite; GE Medical Systems, Milwaukee, WI) with the use of a CTL spine coil (GE Medical Systems). Axial slices perpendicular to the long axis of the spinal cord were acquired, with diffusion gradients in 25 equidistant directions with a $b = 500 \text{s/mm}^2$ and a single T2-weighted ($b = 0 \text{s/mm}^2$) image. Slices were 3 mm thick with a 3-mm space gap in between each slice. Diffusion weighted images were obtained with a TR/TE = 4500/80 ms, number of excitations = 2, matrix size = 128 × 128 and the FOV was set at 260 mm × 260 mm. For reference, sagittal T2W images were acquired using a TR/TE = 2600/110 ms, matrix size of 512 × 512, and the FOV was set at 220 mm × 220 mm. Axial T2W images sections were determined from anatomical landmarks on sagittal images and acquired with TR/TE = 500/8.4 ms; number of excitations = 1.5 and matrix size of 512 × 512.

Image Processing
Images were processed using the Analysis of Functional NeuroImages processing suite (AFNI, http://afni.nimh.nih.gov/afni/). A Fourier transform-based affine registration of the DWIs to the T2-weighted image (used in previous clinical studies) was done to correct geometric distortions associated with susceptibility variations, eddy currents and subject motion. Subjects that produced large bulk movements were rescanned. The registered image volume was then processed using AFNI to identify the eigenvalues of the tensor for each voxel.

A constraint nonlinear tensor-fitting algorithm was used to iteratively compute DTI indices. Outliers were checked for in the diffusion images, and were excluded from further analysis. Optimization was completed using a gradient descent method. None of the recorded images were obtained with an SNR < 2 and thus the bias due to rician noise distribution was considered minimal. Moreover, applying a Rician filter for the first 10 subjects did not produce significantly different DTI indices, and therefore this was not performed on the final set of 25 subjects.

DTI indices were calculated from the eigenvalues as follows: fractional anisotropy (FA, defined by Pierpaoli et al) $^{17}$, mean diffusivity (MD($\times10^{-3} \text{mm}^2 \text{s}^{-1}$), average of all three eigenvalues), longitudinal transverse apparent diffusion coefficient (lADC($\times10^{-3} \text{mm}^2 \text{s}^{-1}$), largest eigenvalue), and transverse apparent diffusion coefficient (tADC($\times10^{-3} \text{mm}^2 \text{s}^{-1}$), average of two smallest eigenvalues).

Regions of Interest (ROIs)
Images were imported into MATLAB (MathWorks, Natick, MA) and one to three slices per cervical level were selected for analysis. Slices with large susceptibility artifacts were excluded from analysis. ROIs for the white matter were traced manually for the ventral funiculus, lateral funiculi, dorsal funiculus and central gray matter in a manner similar to that described by Pattany et al $^{18}$ (Fig. 1). The ROIs were traced in such a manner so as to exclude at least two voxels outside cord, thereby minimizing the risk of partial volume effects due to CSF. The measurements from the right and left lateral funiculi were averaged and the combined value was used in the analysis, as has been reported previously $^{7, 8}$. 


Figure 1 Schematic diagram of a cross-section of the cervical cord superimposed on an axial FA map of the cervical spinal cord in a representative subject. 1, ventral funiculus; 2, lateral funiculi; 3, dorsal funiculus; 4, central gray matter.

The cervical cord was divided into three segmental groups: upper cord (upper border of C1- lower border of C3), middle cord (upper border of C4- lower border of C6), and lower cord (upper border of C7- lower border of T1). The DTI indices of each segmental group were averaged to identify whether different segmental groups of the cord showed significant age-related changes. The SNR was calculated for throughout the CSC in each subject using the method described by Kaufman et al. SNR was calculated on T2-weighted images after distortion correction. Signal ROIs were selected at a consistent location inside the body, while noise ROIs were selected outside the body (in air), and were obtained at least 10 voxels away from the borders of the image.

Statistical Analysis
Data were analyzed statistically using SPSS 20.0 (Chicago, IL). DTI measures of the individual funiculi were compared using a one-way analysis of variance (ANOVA) and comparisons between pairs of funiculi were performed using a Tukey post hoc test. Nonparametric tests were used to compare SNR data, which were not normally distributed. Pearson's correlation was used to test for correlations between age and DTI metrics. For statistically significant correlations, Lowess curves (a locally weighted least squares smoothing method) that used 50% of the data points were drawn to determine data patterns. Regression analysis was performed to statistically define age-related changes in DTI metrics. Data are reported as means ± SD and the level of significance was set as \( P < 0.05 \).

RESULTS
Twenty-five healthy subjects (12 men and 13 women; age range, 22–85 years) were selected for the study (Table 1). No subject showed significant cord compression or intramedullary hyperintensities on T2W images. Axial FA maps in a representative subject are compared with corresponding axial T2W images in Figure 2.
Figure 2 Sagittal T2W MR image (left) of the cervical spine in a normal subject with the corresponding axial T2W images at each cervical level (middle). FA maps (right) at each cervical level show the cross-sectional anatomy with lower anisotropy in the central gray matter and higher anisotropy in the white matter funiculi. Lower cervical segments show poorer spatial resolution as compared to superior levels. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

Table 1. Age-Distribution of Subjects (n=25)

<table>
<thead>
<tr>
<th>Age groups (years)</th>
<th>Male</th>
<th>Female</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>20-29</td>
<td>2</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>30-39</td>
<td>2</td>
<td>3</td>
<td>5</td>
</tr>
<tr>
<td>40-49</td>
<td>-</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>50-59</td>
<td>2</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>60-69</td>
<td>1</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>70-79</td>
<td>3</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>80-89</td>
<td>2</td>
<td>2</td>
<td>4</td>
</tr>
</tbody>
</table>

DTI Metrics of the White Matter

The mean FA, MD, IADC and tADC of all white matter tracts was $0.75 \pm 0.04$, $0.78 \pm 0.1 \times 10^{-3}$ mm$^2$ s$^{-1}$, $1.64 \pm 0.2 \times 10^{-3}$ mm$^2$ s$^{-1}$ and $0.35 \pm 0.08 \times 10^{-3}$ mm$^2$ s$^{-1}$, respectively. Mean DTI metrics of the individual white matter bundles are shown in Table 2. FA of the ventral, lateral, and dorsal funiculi decreased in a rostral to caudal direction (Spearman’s $r = -0.90$, $-0.85$, and $-0.97$ respectively, $P < 0.01$).

Table 2. Mean DTI Metrics (±SD) of the Gray Matter and Individual White Matter Funiculi in the Cervical Spinal Cord of 25 Neurologically Intact Subjects

<table>
<thead>
<tr>
<th>DTI Metrics</th>
<th>Whole cord</th>
<th>Ventral funiculus (I)</th>
<th>Lateral funiculus (II)</th>
<th>Dorsal funiculus (III)</th>
<th>Central gray matter</th>
<th>Differences between funiculi</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. FA</td>
<td>0.63 (0.03)</td>
<td>0.72 (0.03)</td>
<td>0.78 (0.03)</td>
<td>0.76 (0.03)</td>
<td>0.51 (0.03)</td>
<td>Ia vs Ila, IIIa</td>
</tr>
</tbody>
</table>
### Table 1: DTI Metrics Between Funiculi

<table>
<thead>
<tr>
<th></th>
<th>MD (x10⁻³ mm² s⁻¹)</th>
<th>IADC (x10⁻³ mm² s⁻¹)</th>
<th>tADC (x10⁻³ mm² s⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>0.98 (0.09)</td>
<td>1.77 (0.1)</td>
<td>0.59 (0.08)</td>
</tr>
<tr>
<td>b</td>
<td>0.74 (0.1)</td>
<td>1.5 (0.2)</td>
<td>0.36 (0.09)</td>
</tr>
<tr>
<td>c</td>
<td>0.75 (0.09)</td>
<td>1.61 (0.1)</td>
<td>0.31 (0.07)</td>
</tr>
<tr>
<td>d</td>
<td>0.86 (0.1)</td>
<td>1.82 (0.2)</td>
<td>0.38 (0.08)</td>
</tr>
<tr>
<td></td>
<td>0.99 (0.09)</td>
<td>1.62 (0.1)</td>
<td>0.68 (0.06)</td>
</tr>
<tr>
<td></td>
<td>IIIb vs Ib, IIbb</td>
<td>IIIc vs Ic, IIc b</td>
<td>IIId vs IId a</td>
</tr>
</tbody>
</table>

FA = fractional anisotropy; MD = mean diffusivity; IADC = longitudinal apparent diffusion coefficient; tADC = transverse apparent diffusion coefficient.

a $P < 0.05$

b $P < 0.001$

### Comparison of DTI Metrics Between Funiculi

One-way ANOVA indicated significant differences between the white matter bundles with regard to the FA ($P < 0.001$), MD ($P = 0.001$), IADC ($P < 0.001$), and tADC ($P = 0.016$). The MD and IADC of the dorsal funiculi were the highest among all white matter bundles (Tukey test; $P < 0.05$). The mean FA of the ventral funiculus was the lowest among the three white matter compartments (Tukey test; $P < 0.05$). Other differences between individual funiculi throughout the CSC are shown in Table 2.

### Gray Matter

The mean diffusion metrics of the central gray matter are shown in Table 2. The gray matter FA, MD, and tADC were significantly different from the white matter bundles (Tukey test, $p<0.01$) (Fig. 3). The FA of the gray matter increased in a rostral to caudal direction (Spearman's correlation: $r = 0.83$, $P < 0.01$). Table 3 shows consistent regional differences between DTI metrics of GM and individual WM funiculi.
Figure 3 A–C: Mean FA, MD ($\times 10^{-3}$ mm$^2$ s$^{-1}$) and tADC ($\times 10^{-3}$ mm$^2$ s$^{-1}$) of the ventral, lateral, dorsal funiculi as well as central gray matter across the cervical cord (C1–T1).

Table 3. Comparisons of Mean DTI Metrics of WM Funiculi and Central Gray Matter in Different Segmental Groups of the Cervical Spinal Cord*

<table>
<thead>
<tr>
<th></th>
<th>UPPER (C1-C3)</th>
<th>MIDDLE (C4-C6)</th>
<th>LOWER (C7-T1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FA</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VF vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>0.001</td>
</tr>
<tr>
<td>LF vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>DC vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>MD</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VF vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>0.04</td>
</tr>
<tr>
<td>LF vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.001</td>
<td>0.02</td>
</tr>
<tr>
<td>DC vs GM</td>
<td>0.001</td>
<td>0.02</td>
<td>–</td>
</tr>
<tr>
<td>IADC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VF vs GM</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>LF vs GM</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>DC vs GM</td>
<td>0.003</td>
<td>0.001</td>
<td>–</td>
</tr>
<tr>
<td>tADC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VF vs GM</td>
<td>&lt;0.001</td>
<td>&lt;0.007</td>
<td>0.006</td>
</tr>
</tbody>
</table>
Change in DTI Metrics With Age

The FA of the entire cervical cord (C1–T1), upper and middle cervical segments showed a significant inverse correlation with age (Pearson's coefficient = −0.55, −0.4, −0.64, \( P < 0.05 \), respectively). Lowess curves showed a bilinear change in FA with age with a rapid decrease in FA after the age of 55 years (Fig. 4). Regression analysis showed that FA was linearly associated with age in subjects over the age of 55 (Fig. 4), while there was no significant association in younger subjects. The lower cord FA did not show any significant correlation with age. Other DTI metrics also did not correlate with age.

Gender Differences

No significant differences were found between male (n=12) and female (n = 13) subjects in whole cord, gray and white matter DTI metrics from C1–T1 (Student t test, \( P > 0.05 \)).

SNR

The median SNR of the diffusion images across all levels was 7.3 (inter-quartile range 2.8). The median (IQR) SNR of the upper, middle, and lower cervical cord segments were 10.6 (4.8), 5.8 (3.6) and 5.3 (2.4), respectively. The SNR significantly differed between the upper (C1–3), middle (C4–6), and lower cervical cord (C7–T1) (Kruskal-Wallis; \( P < 0.001 \)). The upper cord had significantly higher SNR compared with the middle and lower cord (Mann-
Whitney; *P* < 0.001). The middle and lower cords had comparable SNRs (Mann-Whitney test; *P* = 0.19). SNRs throughout the CSC of individual subjects are shown in Figure 5.

**Figure 5** Distribution of signal to noise ratios (SNRs) throughout the cervical spinal cord (C1–T1) showing a rostro-caudal decrease in SNR. “X” denotes the SNR for an individual subject at that particular vertebral level.

**DISCUSSION**

The present study has three major findings: (i) FA, MD, and tADC differ between gray and white matter, as well as between individual white matter funiculi across all levels of the cervical spinal cord; (ii) Age-related decreases in FA of the CSC are detected specifically in the upper and middle CSC; (iii) Reductions in SNR are observed in a rostral to caudal direction.

Previous authors have measured the diffusivities of gray and white matter in the normal human spinal cord [7-9, 14, 20-22]; however, only few studies, with limited number of subjects (8–11 subjects), have documented DTI metrics of the individual white matter funiculi in the CSC [7-9]. The mean white matter FA in our study was similar to some previously reported values in the cervical cord [8, 22], but higher than others [7, 20]. The larger sample size and wider age range in this study could account for minor variations in the measured DTI metrics. Similar to previous reports [7, 23-25], we found that the ventral funiculus had the lowest FA among all white matter bundles. The FA of each funiculus decreased in a rostro-caudal direction as has been shown previously with regard to whole cord FA values [11, 12]. The rostro-caudal decrease in FA in our study, however, is less pronounced and may be partly attributed to the overestimation of FA in regions of low SNR (lower CSC) at b values closer to 1000 s mm$^{-2}$ [26]. It is possible that because several white matter tracts terminate in the cervical enlargement, the uniform longitudinal alignment of white matter fibers is disturbed in the lower cervical cord leading to a decrease in FA.

Post mortem [27-31] and other imaging studies [32, 33] have described age-related degenerative changes in the spinal cord such as neuronal loss, astrocytosis, demyelination, and decreased spinal cord volume. The FA of the upper CSC has been shown to decrease with age [10, 11]. Similar to Agosta et al [10], we found the FA of the CSC to decrease rapidly in older subjects. These results further substantiate the sensitivity of FA to age-related changes in the spinal cord, and indicate the need for selecting controls of similar ages when studying spinal cord pathology. We believe that the relationship between FA and age, particularly in the lower cord, was affected by the differences in SNR across the cervical segments. The lower SNR may have produced minor variations in DTI metrics that affected the correlational analysis with age.
The present study has brought out important limitations with regards to CSC DTI. The SNR in the C4–T1 segments was significantly lower than that of the upper CSC (C1–C3). It is likely that the construct of the surface coil as well as body morphology negatively impact the signal intensity in these segments. One outcome of low SNRs is the overestimation of FA (at b values approaching 1000 s mm$^{-2}$)\textsuperscript{17, 26}, particularly in areas of low anisotropy\textsuperscript{35, 36} such as the central gray matter. This may explain the rostral to caudal increase in FA of the gray matter, which was found in this study. We did not try signal-averaging to increase the accuracy of our measurements, because this has been shown to be incapable of correcting such errors\textsuperscript{16}. Nevertheless, our findings are similar to the mean gray matter FA in other studies (within 2 SDs)\textsuperscript{8, 14, 22}.

Although significant differences in DTI metrics between GM and WM were seen consistently across the CSC, poor spatial resolution in the lower CSC meant that tracing ROIs within specific regions of the spinal cord were prone to partial volume effects. Our study may have benefitted from the use of a 3T MR scanner, which has been shown to produce images with better resolution\textsuperscript{37} and higher signal-to-noise ratio\textsuperscript{38}. While DTI metrics are not directly affected by field strength\textsuperscript{37, 39}, a higher field strength would improve the precision of DTI measurements\textsuperscript{39}, increase the contrast between GM and WM regions\textsuperscript{37}, and facilitate more accurate tracing of ROIs within the spinal cord.

The trend in SNR values across the CSC has important implications when evaluating spinal cord pathology in the middle and lower CSC. In particular, DTI metrics in patients with cervical spondylotic myelopathy (CSM), which commonly affects the C4–C7 segments, can potentially be altered by variations in SNR. Minor differences between individual patients and controls may also be created by variations in SNR. Additionally, correlational analysis with clinical outcomes can be affected by the differences in SNRs. While future studies should try to address the technical problems described in our study, it is important that investigators who presently use CSC DTI account for variations in SNR when analyzing their results.

In conclusion, this study provides DTI data for the GM and individual WM funiculi throughout the CSC in neurologically intact subjects. While DTI metrics may be used to define cord pathology, variations in metrics due to age and signal quality need to be accounted for before making definitive conclusions.

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