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## A Perspective on the Challenges and Issues in Developing Biomarkers for Human Allergic Risk Assessments

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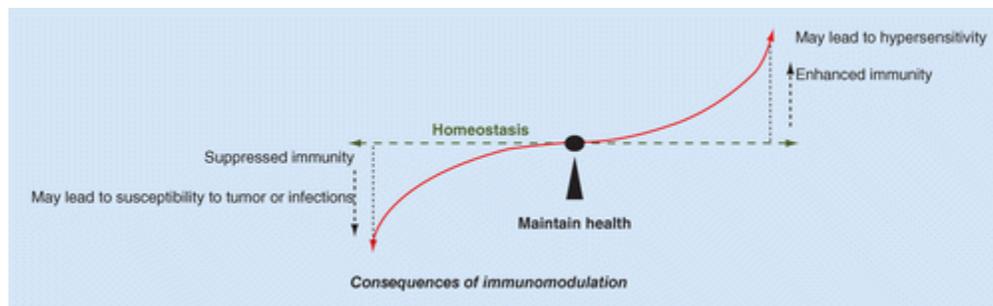
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Homeostasis in host immunity is the functional state that protects the body from disease while minimizing detrimental effects of an immune response, such as allergic, autoimmune or a suppressed immune response. As the normal human population's immunity can vary by more

than two standard deviations [1–4], individuals can have wide fluctuations in how they express homeostasis.

When these possible detrimental effects are considered, immunotoxicity or immune disorders can be divided into two categories: enhanced immunity (e.g., hypersensitivity, allergy, autoimmunity, sensitization and dermatitis) and suppressed immunity (e.g., hyposensitivity and immunosuppression), shown in Figure 1. Both categories represent an immune response that is unable to recognize self from nonself appropriately – presenting a risk such as an allergic response [1].



**Figure 1. A model of immunomodulation in homeostasis.**

## Exposure to an allergen

Although the allergenicity of a substance-induced allergic response is highly subject-specific, a dose of allergen exceeding the threshold of a subject-specific level can cause an allergic response. Usually the dose is lower than a general toxicity level, but high enough to perturb the host immune system's homeostasis. This can occur through a single exposure or through multiple exposures. The first exposure might not cause any noticeable effects and some metal sensitizations can be triggered in humans by another metal, such as palladium cross reacting with nickel [5]. Once an allergen interacts with the individual, the immune system undergoes antigen processing to form a subject and allergen-specific hapten that can be processed and presented by antigen presenting cells. The response initiates an activation and maturation process, allowing the host immune system to memorize the 'signature', or the structured pattern, of the allergen complex. This first exposure can also adjust the threshold for a response. If a second exposure takes place, the immune system then quickly recognizes it as nonself and seeks to eliminate it in order to maintain the body's homeostasis. The protective action of the immune response is a double-edged sword; it removes the perceived threat, but it can result in damage to the host locally or systemically, ranging from pain to serious illness including death from anaphylaxis [1]. Here, we focus on metal and drug-associated allergic risks.

## Response to an allergen

The response to an allergen is complicated as it depends on an individual's immune system. In other words, the same exposure could have diverse results in a population of individuals and

an individual's response can change during a lifetime [6]. In contrast, general toxicity is a dose-dependent event that shows relatively uniform manifestations from one individual to another. Allergic reactions are historically classified as type I, II, III and IV, but the mercury in dental amalgams can induce all types of allergic responses [7,8]. While nickel causes a type IV lymphocyte mediated delayed-type allergic response, it displays a common inflammatory morphological pattern, observed as a regional lymphocyte infiltration also seen in many other instances such as a viral infection [9,10]. This challenges and confounds diagnostic and monitoring efforts that have an impact on clinical decision-making [11]. In the clinical setting, the manifestations usually do not show a clear cutoff between the different types of allergic responses, because the inflammatory response can be similar while the allergic response can be mixed [12-14]. We propose considering an additional category that is based on a substance classification, such as 'metals' to cover mercury and nickel allergies rather than a type based, to provide a clearer picture in clinical decision-making.

Biomarkers are commonly used as diagnostic and monitoring tools that offer distinct advantages for improving clinical outcome [15]. Uncovering biomarkers to stratify the allergic risks and streamline clinical information can have a positive effect on medical interventions [16,17]. Ideally, physicians could then predict personalized risk prior to introduction of a metal-containing medical device or a drug [17].

#### Current challenges & issues

Stratification of categories associated with the allergens might result in a better understanding of the mechanisms behind the phenomena. Currently preclinical allergic risk assessments rely on animal-based tests [18]. However, this approach has been criticized for providing poor clinical relevance due to species variation in immune responses and drug metabolism [19-21]. Among animal species, nonhuman primates are considered the closest model for representing the human situation. However, sometimes human and nonhuman primates display a significant difference in their immune response, for example, their reaction to herpes simplex viral infection [4,20,22]. In drug-induced allergic responses, metabolites of a drug can also result in a specific allergic response in humans that is different from what is observed in nonprimate humans and can even be specific to an individual due to their unique forms of metabolic enzymes. Consequentially, the metabolite-hapten complex formation through human antigen presenting cells might also be unique. In devices that contain metals, nickel is a well-known human allergen with an allergic response prevalence estimated between 17 and 20% in the general population; but it is not an allergen in the mouse due to differences in Toll-like receptor 4 [23-25].

Nickel, cobalt and chromium metals can leach out from alloy-based medical devices and 'wear particles' can form and increase with time from common daily activities. High concentrations of salts and amino acids along with mechanical loading, the interface between the device and the tissues, and the battery effects between dissimilar metals all promote metal ion release from a device. Patients who have allergic reactions commonly have high blood levels of metals [26]. Metal ions need to be conjugated with proteins to be recognized by a host immune system. In the case of nickel, the reconfirmation of endogenous proteins with nickel enlarges their size and the result can be allergenic [27,28]. However, these alloys must be used because they

provide the mechanical strength necessary to support the body part, for example, the metallic hip joint supports the leg. Another concern is that computational modeling of structure–activity relationship and animal data do not discern between those who will get the product-related allergic response and those who will not [29,30], but both are somewhat informative for weighting risks and benefits.

Because funding sources are more concerned with addressing major diseases than developing allergic biomarkers, the ones currently used in the clinics are considered obsolete [31]. As an alternative method, the human lymphocyte transformation test is considered as the secondary tier of tests for showing clinical relevance [32,33]. Recently, environmentally friendly lymphocyte transformation tests were developed using fluorescent probes and flow cytometry to eliminate the need of radioactive isotopes and to offer more precise information [34].

Commonly used animal-based testing models do not always predict the human outcome in a clinical study. This points out the importance of performing clinical trials [35], because some adverse events can only manifest from human biochemical and immunological reactions. For example, drugs repeatedly associated with autoimmune responses can cause drug-induced liver injury. Although only one out of 1000 to 10,000 humans experience drug-induced liver injury from resulting autoantibodies, irreversible liver failure is possible. Predicting which drugs will cause injury is an inexact science and monitoring alanine aminotransferase is an ineffective approach outside of clinical trials because it lacks specificity and sensitivity [36]. Allergic responses occur at a lower frequency in the clinic because they can take a long time to manifest; sometimes mild sickness and nondisease-specific symptoms of acute liver damage can take a few months or longer to develop after taking the medication. Without appropriate biomarkers, it is challenging to weight the risks and benefits accurately [37,38]. Thus, many case reports and adverse events were apparently overlooked due to the lack of availability of appropriate biomarkers [26] and it is estimated that allergic-related adverse events may have been under reported by as much as 90% [38].

Lack of communication can exist between the immunologists (allergist) and the surgeons or dentists who implant these metal containing devices and the physicians who prescribe the drugs. Even in the allergist setting, there is a lack of robust and reliable test methods. For example, skin patch and intradermal tests often show large numbers of false positives and negatives [39]. Once positive to an allergen such as nickel, the positivity will remain for a very long time and possibly for the individual's entire life, which does not reflect the clinical situation when the implant was removed and the symptoms no longer exist, but the test continues to remain positive [26]. Furthermore, these tests cannot differentiate between palladium cross-reacting as nickel, because palladium has 90% cross reactivity with nickel [5].

In conclusion, to prevent allergic risks by capturing measurable signals of allergy in hypersensitive individuals and to improve diagnosing and monitoring patients' health, clinically relevant, reliable and robust complementary biomarkers as a panel with validated sensitivity and specificity [36,40] need to be developed using relevant human material combined with individual-based *in vitro* test models to accurately predict the long-term clinical outcome [35,41].

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