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Field Oriented Sliding Mode Control of Surface-Mounted Permanent Magnet AC Motors: Theory and Applications to Electrified Vehicles

Xin Wang

Department of Electrical and Computer Engineering, Southern Illinois University, Edwardsville, IL,

Max Reitz

Department of Electrical and Computer Engineering, Southern Illinois University, Edwardsville, IL

Edwin E. Yaz

Department of Electrical and Computer Engineering, Marquette University, Milwaukee, WI,

# **Abstract:**

Permanent magnet ac motors have been extensively utilized for adjustable-speed traction motor drives, due to their inherent advantages including higher power density, superior efficiency and reliability, more precise and rapid torque control, larger power factor, longer bearing, and insulation life-time. Without any proportional-and-integral (PI) controllers, this paper introduces novel first- and higher-order field-oriented sliding mode control schemes. Compared with the traditional PI-based vector control techniques, it is shown that the proposed field oriented sliding mode control methods improve the dynamic torque and speed response, and enhance the robustness to parameter variations, modeling uncertainties, and external load perturbations. While both first- and higher-order controllers display excellent performance, computer simulations show that the higher-order field-oriented sliding mode scheme offers better performance by reducing the chattering phenomenon, which is presented in the first-order scheme. The higher-order field-oriented sliding mode controller, based on the hierarchical use of supertwisting algorithm, is then implemented with a Texas Instruments TMS320F28335 DSP hardware platform to prototype the surface-mounted permanent magnet ac motor drive. Last, computer simulation studies demonstrate that the proposed field-oriented sliding mode control approach is able to effectively meet the speed and torque requirements of a heavy-duty electrified vehicle during the EPA urban driving schedule.

# SECTION I. Introduction and Motivation

Thanks to the latest development of AC electric motors and battery technologies, a wide range of electrified vehicles hit the production line and become commercially available. From the rise of electrified heavy-duty trucks, such as Tesla Semi-truck, to the huge market growth of passenger-type hybrid electric vehicles, such as Toyota Prius, the future of transportation industry and market will be dominated by all types of electrified vehicles, including pure electric vehicles (PEVs), hybrid electric vehicles (HEVs), and fuel-cell vehicles (FCVs).

Besides reduction of the carbon dioxide emission and high energy efficiency, the three distinct advantages of electrified vehicles have been summarized by Hori in [1] as follows:

1. *Precise and fast toque generation from electric motors:* The electric motor's torque response is typically within several milliseconds, whereas the response of an internal combustion engine or a hydraulic braking system is 10 to 100 times slower. Utilizing this essential feature, advanced control of traction motors enables dynamically changing the vehicle's characteristics without changing the driver's behavior. Anti-lock braking system (ABS) and traction control system (TCS) can be cooperated and integrated together, since motor can produce both acceleration and deceleration torques. Battery energy savings can be optimized by using regenerative braking and low-drag tires.
2. *A motor can be attached to each wheel:* Toque from smaller-sized motor-wheel sets can be controlled independently and cooperatively, which leads to safety and performance improvements of electrified vehicles. Distributed motor location can enhance the performance of vehicle stability control (VSC), which is not achievable in traditional internal combustion engine (ICE) based vehicles.
3. *Motor torque can be easily measured:* The driving and braking torque generated from electric motors enjoys much smaller uncertainties, compared to that of an IC engine or hydraulic brake. Based upon current measurement, driving force observer can be developed to estimate the driving and braking force between tire and road in real-time, which enables control technologies to safely governs the vehicle traction based on road condition estimation.

For aforementioned electrified vehicles traction control, adjustable speed permanent magnet AC motor (PMAC) drives have been extensive employed. As an example, the 8-pole interior-mounted permanent magnet AC motors (IPMs) are commonly used in Toyota Prius for traction and regenerative braking. The popularity of poly-phase permanent magnet AC motors should be attributed to their inherent advantages of more accurate and faster torque control, larger torque to inertia ratio, higher power density, longer bearing and insulation life-time, larger power factor, superior efficiency and reliability, when compared to other types of electric motor drives.

Over the past decades, the vast majority of academic and industrial effort approaches high performance real-time PMAC motor control challenge by means of *field oriented control* (FOC) and *direct torque control* (DTC) [2], also known as vector control. Without the large current harmonics or torque ripples inherent in direct torque control [3]- [6], field oriented control is traditionally executed through proportional-integral (PI) controllers. Although a PI controller enjoys the advantage of simplicity and the ease of implementation, its design process suffers from the following distinct drawbacks:

1. A PI controller may not provide satisfactory transient performance, since it does not take *load perturbations, external disturbances, parameters variations and modeling uncertainties* into account. Therefore, a PI controller is not a very robust linear controller.
2. In order to design PI-based field oriented controllers, *decoupling system is needed to convert the nonlinear PM motor dynamics into classical single-input-single-output (SISO) systems*, so that PI control gain can be computed based on the chosen phase and gain margins to meet the stability requirements of linear control. Discrepancies between the actual nonlinear PMAC motor dynamics and the linear SISO models employed for PI controller design deteriorate the field oriented control performance, in which the stability of closed-loop feedback AC motor control systems is also compromised.

Actually, in additional to coupled nonlinearities, permanent magnet synchronous motor drives face with parameter variations, modeling uncertainties and extraneous load perturbations. Unfortunately, conventional linear control approaches, including aforementioned proportional-integral (PI) approach and linear quadratic regulator (LQR) method cannot achieve sufficiently high performance for permanent magnet AC motor systems due to the inherent limitations. Hence, a high performance nonlinear control scheme would be desirable to overcome these difficulties in practical electrified vehicle applications, which guarantees fast and stable transient behavior, quick toque and speed recovery from disturbances and perturbations, and robustness against system parameter variations and modeling uncertainties.

To address this application-oriented challenge, many nonlinear control methods have been recently developed as compelling alternatives to classical PI controllers. These nonlinear controls include fuzzy control, robust control, state dependent Riccati equation based control, model predictive control, feed-forward control, adaptive control, intelligent control, neural-network control, feedback linearization, and sliding mode control, which have been studied and reported in literature [7]–[32] . In [7], the fuzzy control methods have limitations to choose the appropriate membership functions and fuzzy rules for the fuzzy inference motion control system. Robust control, such as  control method in [8], requires complicated design processes and much more complicated numerical solvers to compute the control solution. The state dependent Riccati equation control requires the solutions from Riccati equation at each time-step [9], [10] . If the Riccati equation solution is infeasible, then the motor control is failed. In [11], model predictive control (MPC) can provide good performance in instantaneous current control for motor drive, but MPC is not robust. An intelligent control or a neural network-based control technique requires a huge amount of computation complexity, while its control performance is not guaranteed, and there is no stability warranty [12], [13]. Linear quadratic regulator (LQR) based optimal vector control of PMSM in  synchronous coordinate frame with state feedback is reported in [14]. However, LQR optimal control is designed for torque and speed regulation around the steady-state operating point. Furthermore, LQR control is not robust, and can be sensitive to model uncertainties, external disturbances and extraneous noise. [15] proposes a modified vector-controlled IPM drive system with the purpose of minimizing copper losses based upon a voltage-constrained tracking in the field weakening control. The approach is designed based on steady state voltage equations, i.e. the time derivatives of currents are ignored. [16] presents a combined adaptive control, fuzzy logic, neural network and genetic algorithm based control of a linear induction motor drive, which is complicated to be implemented in practice, and without stability guarantee. Different from the feedback linearization method used in [17], [18] applies the Hamiltonian of nonlinear optimal control theory to achieve the feedback linearization control of PM synchronous machines operating with varying speed/torque. Feedback linearziation requires transformation must be a diffeomorphism, i.e., the transformation must be invertible. But in practice, the transformation of motor model can only be locally diffeomorphic, the feedback linearziation results only hold within a small neighborhood of equilibrium point, therefore, the method in [18] also have limitations for practical motor-drive applications.

It should be mentioned the Maximum Torque Per Ampere (MTPA) control technique has been widely utilized as a practical interior-mounted permanent magnet synchronous motor (IPM) motion control solution [19]–[23]. For a given magnitude of current vector, the stator current vector can be controlled using MTPA control to maximize the developed torque. The MTPA method has been extensively used, as it can be conveniently implemented with PI controllers, while minimizing the copper loss. However, MTPA cannot be applied above the rated speed due to the voltage limit. [19] proposes the high-performance current regulator to improve current responses in high-speed flux-weakening region by a feed-forward compensator. This control strategy has been widely adopted in constant torque operating range to achieve fast transient and high-efficiency operation of IPM drive systems.

Sliding modes are well-known for their robustness against parameter variations, modeling uncertainties, external disturbances and perturbations in the mathematical description of physical systems [24]-[32]. Compared with a proportional-integral (PI) controller, a sliding mode scheme improves the dynamic performance, reduces the response-time and overshoot, provides perfect decoupling, and enhances the overall stability of permanent magnet AC motor drives.

Extending our previous effort in [33], [34], where we focus on first and higher-order sliding mode theory development, this manuscript presents novel first and higher-order *field oriented sliding mode control* strategies to develop high performance surface-mounted permanent magnet AC motor drives (SPMs) operating smoothly and robustly over the full-speed range, with the maximized electromechanical torque output. The main contributions of this manuscript are summarized as follows:

1. The proposed *field oriented sliding mode control* scheme (FOSMC) enjoys the combined advantages of field oriented control and sliding mode control. On the one hand, the field oriented control scheme maximizes the developed electromechanical torque by setting the flux and stator current vectors orthogonal with each other, since the developed electromechanical torque can be expressed as the outer product of the two vectors. On the other hand, the sliding mode control scheme can guarantee faster transient behavior, less overshoot, smaller steady state error, less sensitive to model parameter variations and load torque perturbations, when compared to PI or LQR based linear controllers.
2. This manuscript approaches the chattering problem by utilizing the novel higher-order FOSMC control method based on the hierarchical use of the super-twisting algorithm. The ideal property of super-twisting algorithm (STA) is that it does not require any time-derivatives of the sliding variables.
3. To confirm the effectiveness and robustness of the proposed field oriented sliding mode control, experiments are carried out with computer simulations and hardware implementations involving a Texas Instruments TMS320F28335 DSP based prototype platform.
4. A heavy-duty hybrid electric vehicle is modeled and controlled with the proposed higher-order sliding mode controller. The EPA Urban Dynamometer Driving Schedule (UDDS) is used for the reference test-drive input to the vehicle. Simulation studies verify that the proposed controller is able to nearly perfectly track the speed reference.

The remainder of this manuscript is structured as follows: Section II establishes the dynamic modeling of surface-mounted permanent magnet synchronous motors. The proposed field oriented sliding mode control scheme is introduced and compared with the traditional proportional-integral (PI) based field oriented control scheme. Section III presents the overview of sliding mode control theory. Section IV gives the detailed derivation of the first-order field oriented sliding mode controller. Section V presents the detailed design of the higher-order sliding mode controller. The implementation of Texas Instruments TMS320F28335 DSP based platform are summarized in Section VI. Section VII provides the modeling of hybrid electric vehicle, and numerical simulation of hybrid electric vehicle controlled with the field-oriented sliding mode control under the UDDS driving cycle. Finally, we conclude the paper in Section VIII by highlighting directions for future work.

# SECTION II. Dynamics of Surface-Mounted Permanent Magnet AC Motors

Consider the  synchronous frame model of a 3-phase surface-mounted permanent magnet synchronous motor (SPM) given as [35] :

(1)

(2)(3)

where  and  are the stator voltage component defined in  synchronous reference frame;  and  are the stator current components defined in  synchronous reference frame;  is the rotor speed in mechanical rad/sec.;  is the number of poles;  is the permanent magnet rotor flux linkage;  is the rotor moment of inertia;  is the viscous damping coefficient;  is the load torque;  is the armature winding resistance; and  is the stator inductance. The developed electromechanical torque τe can be expressed as:

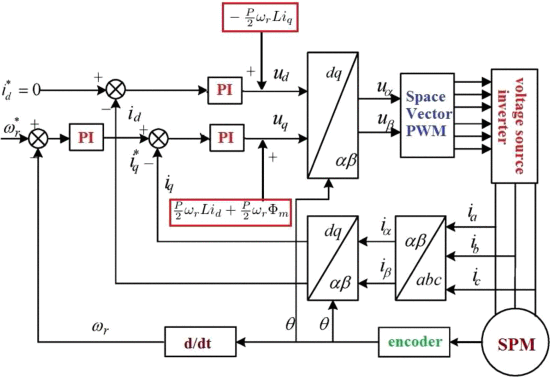
(4)

It should be noted that for SPM, there is no reluctance torque component existed in [(4)](https://ieeexplore.ieee.org/document/#deqn4), since the direct- and quadrature-axis stator inductances are the same, i.e., .

Notice that motor dynamics [(1)](https://ieeexplore.ieee.org/document/#deqn1)– [(3)](https://ieeexplore.ieee.org/document/#deqn2-deqn3) are cross-coupled nonlinear equations. For traditional field oriented control scheme, the development of proportional-integral (PI) based controllers requires decoupling and back-emf compensation as shown in [(6)](https://ieeexplore.ieee.org/document/#deqn5-deqn6). Decoupling systems are essential to convert the nonlinear coupled dynamics into single-input-single-output linear models. By performing the decoupling stage as shown in Fig. 1, the two inputs to space-vector pulse-width-modulation scheme,  and , can be expressed as

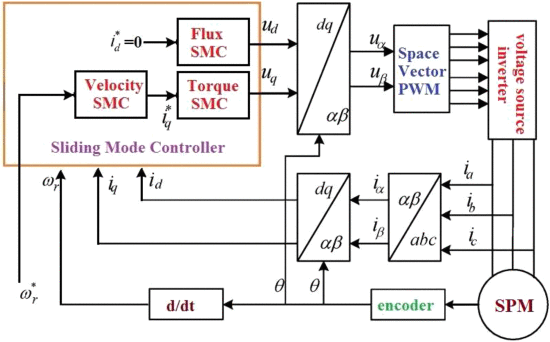
(5)(6)

where  stands for a standard proportional-integral controller.



**Fig. 1.** Block diagram of the traditional proportional-integral based field oriented control.

Different from the traditional PI-based field oriented scheme, the proposed field-oriented sliding mode control of surface-mounted permanent magnet AC motors (SPMs) does not involve any decoupling blocks as shown in Fig. 2. With the measurement feedback of ,  , , the proposed field oriented sliding mode control can be achieved by implementing velocity sliding mode controller, flux sliding mode controller and torque sliding mode controller. The orthogonality of flux and current space vectors are guaranteed by aligning the armature current vector along the -axis, i.e., , and the flux vector along the -axis. An encoder or resolver-to-digital converter (RDC) can provide the mechanical rotor position  and speed  information in real-time as shown in Fig. 2.



**Fig. 2.** Block diagram of the proposed field oriented sliding mode control.

# SECTION III. Sliding Mode Control Theory

One of the most intriguing aspects of a sliding mode controller is that by utilizing a discontinuous control approach whose primary function is to rapidly switches between two distinct continuous manifolds, the controlled system dynamics is forced to track a predetermined trajectory known as the sliding surface [30], [31].

Consider the following input-affine nonlinear dynamics

(7)

where  denotes the state-space variable.  and  are smooth  and  nonlinear vector functions, respectively. The discontinuous control input  is expressed as

(8)

where  defines the sliding manifold, while  describe the  sliding surfaces.

The aforementioned closed-loop control system exhibits sliding mode properties if the following reachability, existence, and stability conditions are satisfied:

1) *Reachability condition:* ensures that state trajectory will approach and eventually reach the sliding manifold, by satisfying the following condition:

(9)

2) *Existence condition:* guarantees that once state trajectory is within the neighborhood of sliding manifold, it will be directed toward the sliding surface, by meeting the following requirement:

(10)

3) *Stability condition:* secures that the sliding manifold will direct the state trajectory toward the stable equilibrium point, which can be obtained by checking the stability in steady-state.

Now, we are in the position to describe the main results, which provide optimal and robust solutions for the field oriented sliding mode control of a surface-mounted permanent-magnet synchronous motor.

## A. Sliding Surfaces

The sliding manifold of the field-oriented sliding mode control is governed by

(11)(12)(13)

## B. Parameter Uncertainties

Considering modeling uncertainties, unmodeled dynamics, and parameter variations in the permanent magnet AC motor dynamics, the following notations are introduced: ; ; ; ; ; and . Note that  denotes the nominal value, and  denotes the bounded parameter uncertainty/variation.

# SECTION IV. First-Order Sliding Mode Control Design

## A. First-Order Flux Sliding Mode Control

The -axis magnetic-flux control law is given as

(14)

where  is the direct-axis stator voltage,  is the equivalent control and  is the switching control.

The equivalent control can be obtained from .

(15)

Solving for  results in

(16)

Equivalently,  in [(15)](https://ieeexplore.ieee.org/document/#deqn15) can be represented as

(17)

Based upon LaSalle's invariance principle, we can obtain the switching control component guaranteeing the Lyapunov stability. Since the uncertainties present in the parameters are bounded, there exists a positive upper-bound , such that

(18)

The switching control component of  is then obtained as

(19)

where the signum function  is known as

(20)

## B. First-Order Torque Sliding Mode Control

The -axis torque control law is given as:

(21)

where  is the quadrature-axis stator voltage,  is the equivalent control and  is the switching control.

Using the aforementioned method, we first derive  to be

(22)

The -axis equivalent control can be obtained as follows

(23)

 is then rewritten as

(24)

Similarly, since the parameter uncertainties are all bounded, there exists a positive upper-bound , such that

(25)

The switching control component of  is then obtained as

(26)

The -axis torque control action keeps  converging to the desired reference -axis stator current .

## C. First-Order Velocity Sliding Mode Control

We define the -axis velocity control law as

(27)

where  is the quadrature-axis stator current,  is the equivalent control and  is the switching control.

From the sliding surface ,  is found to be

(28)

The equivalent control becomes:

(29)

where

(30)

Following the similar procedure as the previous control designs, there exists a positive upper-bound , such that

(31)

The switching control component of  is then obtained as

(32)

The output of -axis velocity control,, serves as the input to -axis torque sliding mode control in Section IV Part B.

# SECTION V. Higher-Order Sliding Mode Control Design

The oscillatory dynamic behavior about the sliding manifold, commonly known as chattering, exists in the first-order sliding mode scheme. Chattering effect, which is caused by the imperfections of switching devices, is the major drawback of the first-order approach. To eliminate the chattering phenomenon, the second-order sliding mode control methods is developed using the super-twisting algorithm (STA). Some preliminary results on higher-order sliding mode control are given in [30]. Sliding manifolds s for higher-order sliding modes are chosen to be the same as the first-order sliding manifolds. Therefore, the equivalent controls for higher-order sliding modes are the same ones for first-order sliding modes. STA can be summarized as follows:

Let , , then

(33)

where

(34)

with the lower-bound and the upper-bound of  denoted as  and , respectively.

The switching control algorithm is defined by the following control law:

(35)

with

(36)(37)

where  are positive sliding mode constants. The corresponding sufficient conditions for finite-time convergence to the sliding surface are given as follows:

(38)(39)(40)

*The distinct advantage of super-twisting algorithm (STA) is that it does not require any information of the time derivative of the sliding variables.* It is noteworthy that this merit is essential for real-time hardware implementation of the proposed higher-order field oriented sliding mode control (FOSMC).

Also note that, by selecting , the aforementioned control algorithm converges to the sliding surface exponentially, which leads to an exponentially stable 2-sliding mode in the sense of Lyapunov. The selection of  ensures that the maximal possible for 2-sliding realization real-sliding order 2 is achieved.

## A. Higher-Order Flux Sliding Mode Control

For second-order sliding mode control, both  and are set to be zero. From the Section IV part A results on

(41)

 is obtained by taking derivative of [(41)](https://ieeexplore.ieee.org/document/#deqn41) as

(42)

Denote

(43)

and

(44)

Denoting , we may choose the lower- and upper-bound as follows

(45)(46)

Control input  consists of the sum of equivalent control  and switching control . Note that the equivalent control  is the same as [(16)](https://ieeexplore.ieee.org/document/#deqn16), and the switching control  is given as:

(47)

with

(48)(49)

The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows:

(50)(51)(52)

We choose  in this manuscript for implementing the proposed higher-order sliding mode control. The controller can be simplified by selecting . Therefore, we have

(53)

## B. Higher-Order Torque Sliding Mode Control

The similar method used for the -axis flux control is applied for developing the -axis torque sliding mode control. Based on previous analysis in Section IV Part B,  is expressed as

(54)

By taking the second-order derivative, can be obtained as

(55)

Denote

(56)

and

(57)

Similarly, denoting , we may choose the lower- and upper-bound  as follows

(58)(59)

Control input  consists of the sum of equivalent control  and switching control .

(60)

Note that the equivalent control  is the same as [(23)](https://ieeexplore.ieee.org/document/#deqn23), and the switching control  is given as

(61)

with

(62)

(63)

The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows

(64)(65)(66)

The controller can be simplified by selecting . Hence,  can be expressed as

(67)

## C. Higher-Order Velocity Sliding Mode Control

By applying similar methods, from the Section IV Part C, we have

(68)

Therefore,  is obtained by taking the second-order derivative of  as

(69)

Again, denote

(70)

and

(71)

Denoting  satisfying the condition , we may choose the lower- and upper-bound  as follows

(72)(73)

The velocity control input is given as

(74)

and

(75)

where

(76)(77)

The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows

(78)(79)(80)

The controller can be simplified by selecting . Hence,  is obtained as

(81)

# SECTION VI. Experimental Results

## A. Computer Simulation Results

The proposed field oriented sliding mode control algorithms have been examined with computer simulation studies. The testing SPM parameters are specified in Table I. The wheel-connected SPM serves as the traction motor of a heavy-duty vehicle, and we can control the torque of each wheel independently. For PI-based traditional field oriented control, the design parameters for all PI-controllers are , which are fine-tuned to reduce the response overshoot and oscillation.

**TABLE I**Wheel-Connected SPM Specifications

|  |  |
| --- | --- |
| Motor power | 80kW |
| Armature winding resistance |  |
| d- and q-acis stator inductance |  |
| Permanent magnet rotor flux linkage |  |
| Number of stator poles |  |
| Viscous friction coefficient |  |
| Wheel inertia |  |

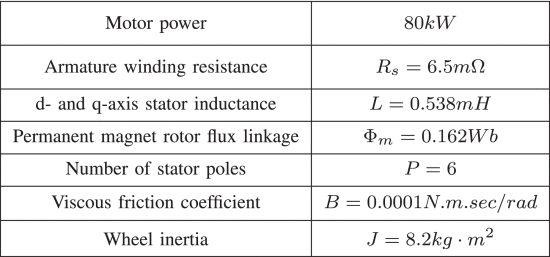
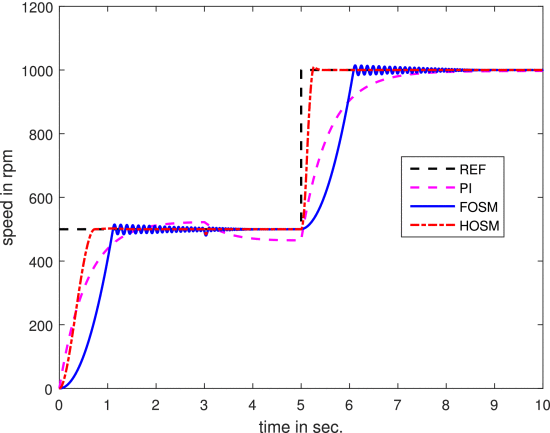
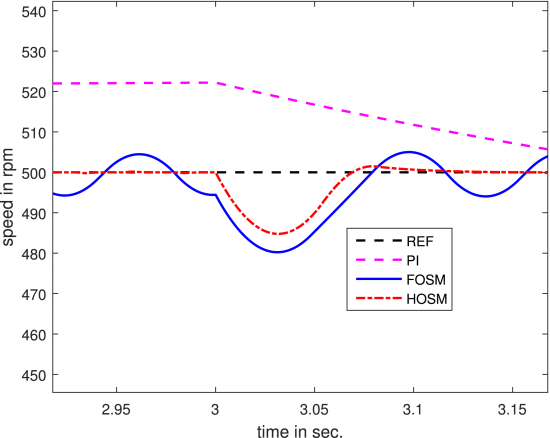


Fig. 3 shows the rotor speed response. The load toque is changed from 0 Nm to 25 Nm at the time instant of 3 sec. The reference speed is increased from 500 rpm to 1000 rpm at the time instant of 5 sec. The higher-order sliding mode controller shows shorter rise-time, and less chattering compared with the first-order scheme. And the traditional PI-based field oriented control shows the slowest response time, highest sensitivity to external load change, and unsatisfactory speed regulation performance with significant overshoots and undershoots.



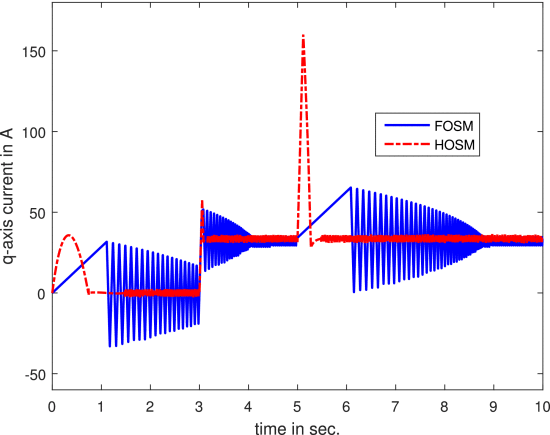
**Fig. 3.** Speed response to reference speed and load torque changes.

Fig. 4 shows the zoomed-in view of Fig. 3 speed response from 2.95 sec. to 3.15 sec. The sudden load change at 3 sec. in shown in greater detail. The higher-order sliding mode controller provides a faster response to the external load toque change from 0 to 25 Nm. The response of first-order controller can be improved by increasing the gains of switching control at the cost of much excessive chattering phenomenon. PI-based field oriented control shows the slowest response to the external load change with inadequate speed regulations.

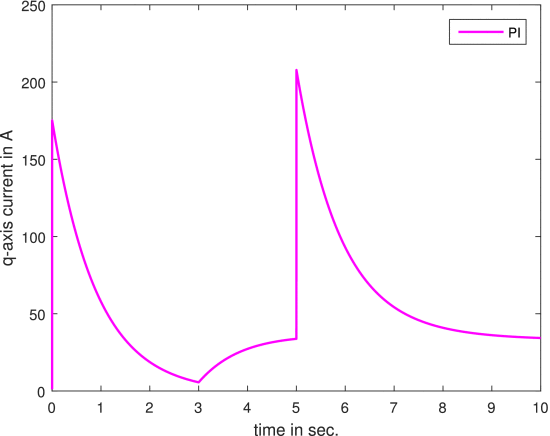


**Fig. 4.** Speed response to load toque change at 3 sec.

Stator current  during the load toque and reference speed change is shown in Fig. 5. The switching control in the first-order scheme causes a high amplitude oscillation in the  -axis current in order to track the reference, and reject external disturbances and parameter uncertainties. The pronounced chattering is effectively reduced in the higher-order sliding mode controller. We did not include the traditional PI-based field oriented control  response curve in Fig. 5, since the  current with PI-control is significantly higher as shown in Fig. 6. Greater stator current  means that the traditional PI-based field oriented control (PI-FOC) based motor drive consumes significantly more electrical power from the battery pack.

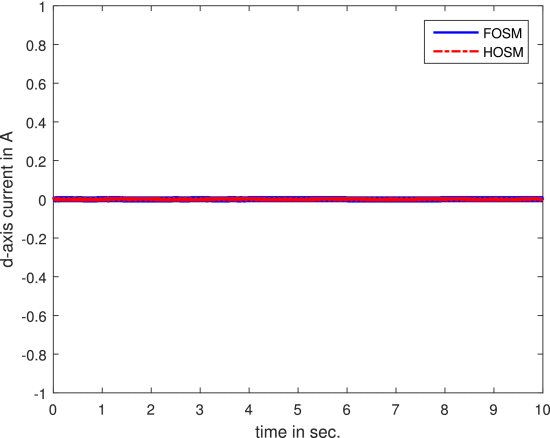


**Fig. 5.** iq response to reference speed and load torque changes with the field oriented sliding mode control (FOSMC).

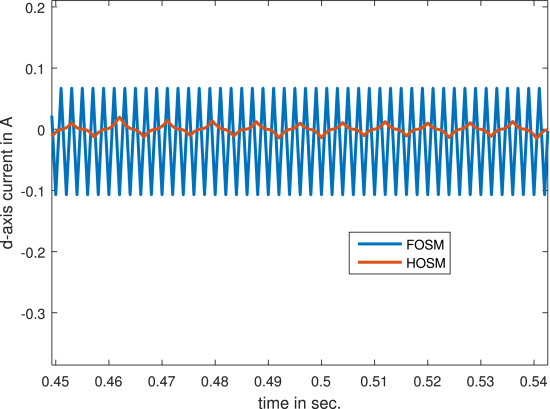


**Fig. 6.** iq response to reference speed and load torque changes with the traditional PI-based field oriented control (PIFOC).

Stator current  is regulated to zero by the first- and higher-order sliding mode scheme, for achieving the field-oriented control performance, as shown in Fig. 7. A closer look of the  response is shown in Fig. 8, which shows the significant chattering reduction by using the super-twisting algorithm (STA) based higher-order field oriented sliding mode control.

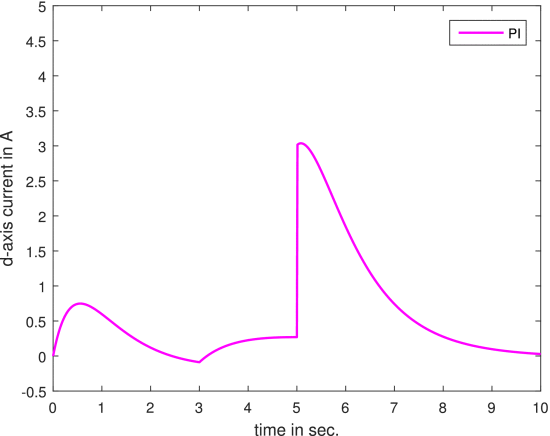


**Fig. 7.** id response to reference speed and load torque changes with the field oriented sliding mode control (FOSMC).



**Fig. 8.** zoomed-in  response with the field oriented sliding mode control (FOSMC).

Again, we did not include the traditional PI-based field oriented control  response curve in Fig. 7, since the  current with PI-control is significantly larger in magnitude as shown in Fig. 9. Greater stator currentmeans that the traditional PI-based field oriented controlled motor drives have greater electrical power usage from the battery pack. The proposed field oriented sliding mode control contributes significantly to the energy saving of battery-pack.



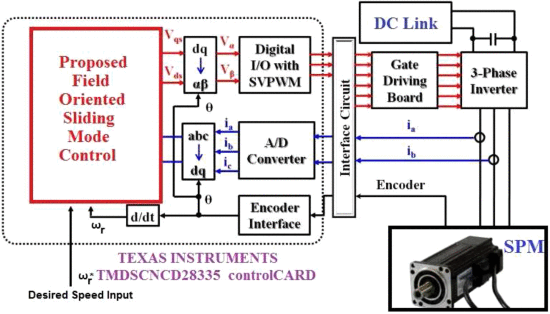
**Fig. 9.** id response to reference speed and load torque changes with the traditional PI-based field oriented control (PIFOC).

Based on the conducted simulation results, proportional-integral (PI) control is found to provide slower response in speed and torque control with pronounced overshoots and undershoots. PI-control is much more sensitive to external load changes, disturbances and modeling uncertainties. For different load values, the control parameters should be readjusted, if possible, to provide a more decent torque and speed response. PI-control based motor drives consume greater amount of stator currents, which opens the new possibility that the battery usage can be further improved and optimized though advanced vehicle motion control technologies.

While both first- and higher-order field-oriented sliding mode controllers provide excellent dynamic performance in speed and torque response, computer simulation results verify that the higher-order sliding mode control method eliminates chattering in the first-order sliding mode scheme, becomes more robust to external load variations, rejects modeling parameters variation, and offers superior performance of quick and accurate torque generation for SPM motors.

## B. Hardware Experimental Results

As shown in Fig. 10, the experiment is performed with a three-phase Anaheim Automation EMJ-04APA22, 0.4kW, 200V, 2.7A, 3000RPM permanent magnet synchronous motor. The SPM is powered by POWEREX PS21765, 600V, 20A, dual-in-line intelligent power module, which includes 6-IGBT inverter, and 3 half-bridge high-voltage integrated-circuit (HVIC) for IGBT gate driving. Space-vector pulse-width-modulation (SVPWM) is used as modulation strategy. The PWM voltage source inverter switching frequency is 10 kHz, while the PWMDAQ is 60kHz. The Texas Instruments 150MHz floating-point TMS320F28335 DSP controller is used, which is a 32 bit floating point digital signal processors with analog interface, RS232 and JTAG emulator port. The TMS320F28335 microprocessor is integrated on the TMDSCNCD28335 controlCARD board, which has analog-to-digital converter (A/D) with 16 channels. The proposed field oriented sliding mode control algorithms have been examined and implemented in real-time with the Texas Instrument TMS320F28335 DSP hardware platform. The parameters and specifications of Anaheim Automation EMJ-04APA22 PMSM are summarized in Table II.



**Fig. 10.** Experimental setup of prototype SPM drive system with Texas Instruments TMS320F28335 DSP.

**TABLE II**Anaheim Automation EMJ-04APA22 SPM Parameters

|  |  |
| --- | --- |
| Rated Power | 400W |
| Rated Torque | l 80*oz.in* |
| Rated Voltage | 220*Vrms* |
| Rated Current | 2.7*A* |
| Rated Speed | 3000*rpm* |
| Armature winding resistance | *Rs=* 4.7ηΩ |
| d- and q-axis inductance | *L* = 13.3*m H* |
| Permanent magnet rotor flux linkage | Φm = 0.0785*Wb* |
| Number of stator poles |  |
| Viscous friction coefficient | *B* = 0 .000l *N .m .sec./ rad* |
| Rotor moment of inertia | *J* = 0.00439*oz .in .s ec2* |
| Weight | 5.52*lbs* |

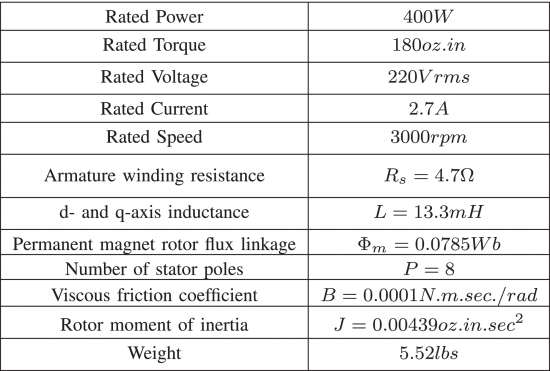
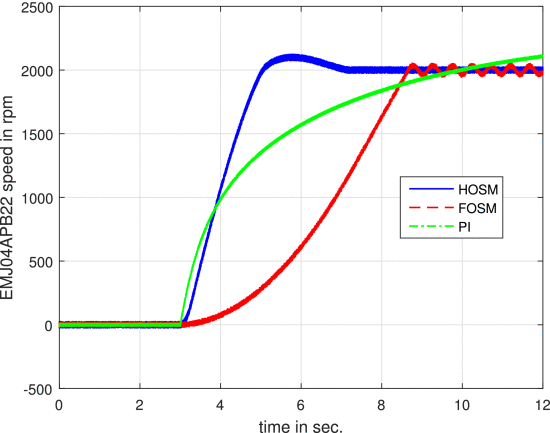


Fig. 11 shows the experimental result of motor speed response with a reference speed of 2000 rpm. A step input speed reference is applied around 3 sec. Based on the DSP hardware experimental results, it is found that the higher-order field oriented sliding mode control (FOSMC) based SPM motor drive can provide quick and accurate real-time motion control. While PI-based traditional field oriented control shows overshoot and relatively slower response time. And the first-order field oriented sliding mode control shows quite significant chattering.



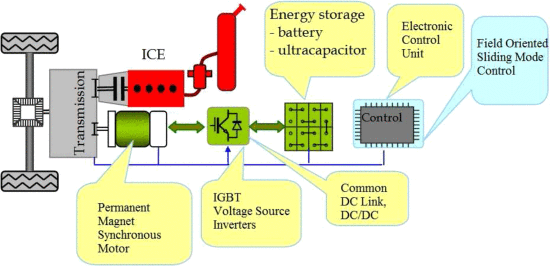
**Fig. 11.** Anaheim Automation EMJ-04APA22 SPM speed response.

# SECTION VII. Applications to Hybrid Electric Vehicles

Electrified vehicles is the most exciting target of advanced motion control technologies. The proposed field oriented sliding mode control (FOSMC) is applied for motion control of a heavy-duty diesel hybrid electric vehicle (HEV) to prove the effectiveness of this new method.

## A. Vehicle Specifications

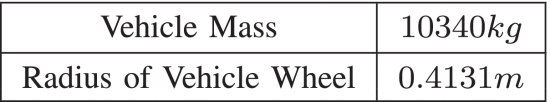
To reduce the energy consumption and improve vehicle's dynamic performance, the drive-train of this vehicle is composed of a V8 turbocharged diesel internal combustion engine (ICE) and 4 surface-mounted permanent magnet synchronous motors (SPMs). Regenerative braking is also considered in the computer simulation. Table III–VI summarize the detailed specifications of this heavy-duty diesel-HEV. And the overall vehicle structure is sketched in Fig. 12.



**Fig. 12.** Hybrid electric vehicle block diagram.

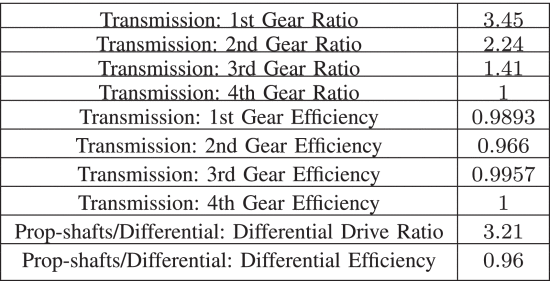
**TABLE III**Vehicle Specifications

|  |  |
| --- | --- |
| Vehicle Mass | 10340*kg* |
| Radius of Vehicle Wheel | 0.4131*m* |

[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang.t3-2865905-large.gif)

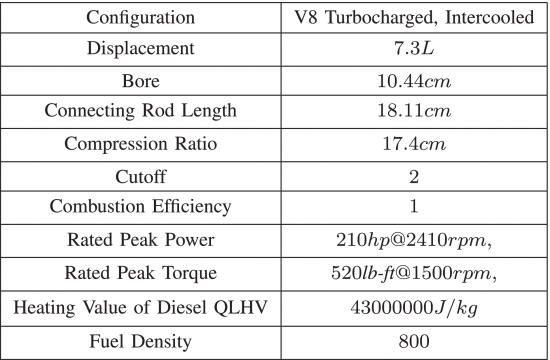
**TABLE IV**Transmissions Specifications

|  |  |
| --- | --- |
| Transmission: 1st Gear Ratio | 3 .45 |
| Transmission: 2nd Gear Ratio | 2.24 |
| Transmission: 3rd Gear Ratio | 1.41 |
| Transmission: 4th Gear Ratio | 1 |
| Transmiss ion: 1st Gear Efficiency | 0.9893 |
| Transmission: 2nd Gear Efficiency | 0.966 |
| Transmission: 3rd Gear Efficiency | 0.9957 |
| Transmission: 4th Gear Efficiency | 1 |
| Prop-shafts/Differential: Differential Drive Ratio | 3.21 |
| Prop-shafts/Differential: Differential Efficiency | 0.96 |

[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang.t4-2865905-large.gif)

**TABLE V**Diesel ICE Specifications

|  |  |
| --- | --- |
| Configuration | VS Turbocharged, Intercooled |
| Dis place ment | 7.3L |
| Bore | 10.44cm |
| Connecting Rod Length | 18.11cm |
| Compression Ratio | 17.4cm |
| Cutoff | 2 |
| Combustion Efficiency | 1 |
| Rated Peak Power | 210hp@2410r pm , |
| Rated Peak Torque | *520lb ft @l 500r pm ,* |
| Heati ng Value of Diese l QLHV | *43000000 J / kg* |
| Fuel Density | 800 |



**TABLE VI**Electric Motor Specifications

|  |  |
| --- | --- |
| Electric Drivetrain | Vheel-Connected SPMs in Tab. 1 |

TABLE VI Electric Motor Specifications

The EPA Urban Dynamometer Driving Schedule (UDDS), which is commonly known as the ”LA4” or ”the city test” and represents city driving conditions [36], is applied as the driving schedule for this diesel-HEV simulation study.

## B. Diesel-HEV Modeling and Vehicle Operation Modes

The power required by the diesel hybrid electric vehicle is

(82)

where  is the power required at the wheels to accelerate the vehicle and overcome drag, rolling resistance, and climbing force. The vehicle speed is v and the acceleration is . The road load is

(83)

where the first part is aerodynamic drag, the second part is the rolling resistance force and the third part is the climbing force.  is the vehicle full loading mass and the effective mass. The equivalent mass of the rotating components  can be obtained from the following equation:

(84)

 and  are the gear ratios for the final drive (differential) and transmission.

The following notations are introduced before we discuss the vehicle operation modes:  denotes the state of charge (SOC) in the battery-pack;  is the required road-load power;  is the power dissipated by friction brakes;  is the differential efficiency;  is the transmission efficiency;  is the motor drive efficiency;  is the engine's output power; and  is the SPM motor's output power.

Based on the power distribution between SPM motors and ICE, the following diesel-HEV operation modes are defined and applied for computer simulation studies. The power management logic governs different vehicle operation modes to achieve the power boosting during acceleration, and regenerative braking during deceleration.

* Pure electric vehicle (PEV) mode: When the required road-load power is positive , and the  is greater than a preset maximum threshold value , i.e. , the vehicle is completely driven by SPM motors and operated as a pure electric vehicle. Hence, when the battery is close to fully charged, the engine is shut off, the vehicle is operated as a PEV. The power required at the propeller shaft  equals to the power delivered to the propeller shaft by traction motors.

(85)

* Power boost mode: When the required road-load power , given battery state of charge is greater than a preset minimum threshold value , but is less than a preset maximum threshold value, i.e., , the following equation holds

(86)

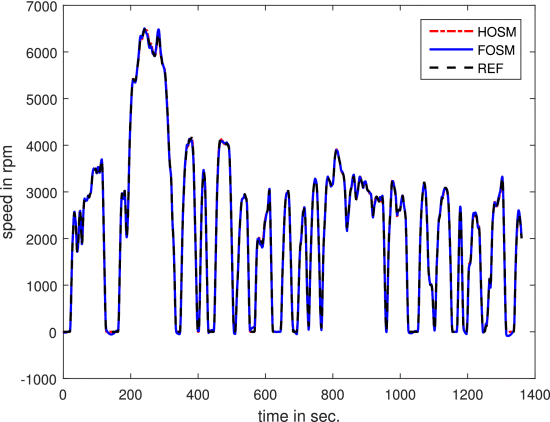
* The power required at the propeller shaft equals to the power delivered to the propeller shaft by the engine and SPMs, which is .
* Pure ICE mode: When  and the battery needs to be charged , the HEV is operated in all ICE mode. Thus, we have

(87)

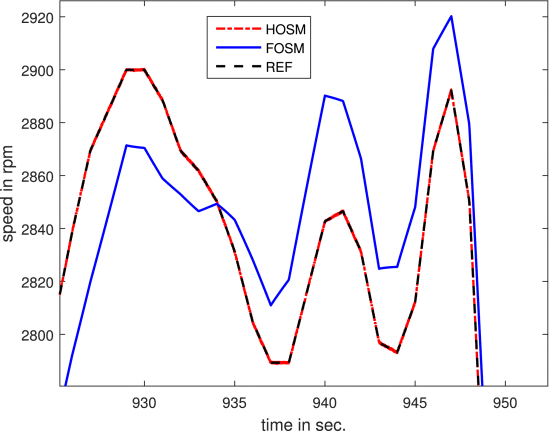
* Regenerative braking mode: When the vehicle is decelerating or declining a hill, the vehicle kinetic energy can be stored by operating the motor in the generator mode for recharging the battery. Under this condition, engine is shut off, and . The power delivered to propeller shaft by the differential  satisfies

(88)

The computer simulation results for heavy-duty diesel hybrid electric vehicle (HEV) are summarized in this section. To demonstrate the effectiveness of the proposed field oriented sliding mode control (FOSMC) in vehicle motion control applications. Fig. 13 shows the first-order and higher-order field oriented sliding mode control based diesel-HEV track the scheduled speed for the entire drive cycle. Fig. 14 show the zoomed-in view of HEV speed response comparisons. It is found that the higher-order FOSMC (labeled as “HOSM”, and shown in red dash-dot line) tracks the reference speed (labeled as “REF”, and shown in black dashed line) more closely than the first-order FOSMC approach (labeled as “FOSM”, and shown in blue solid line) throughout the entire drive cycle.

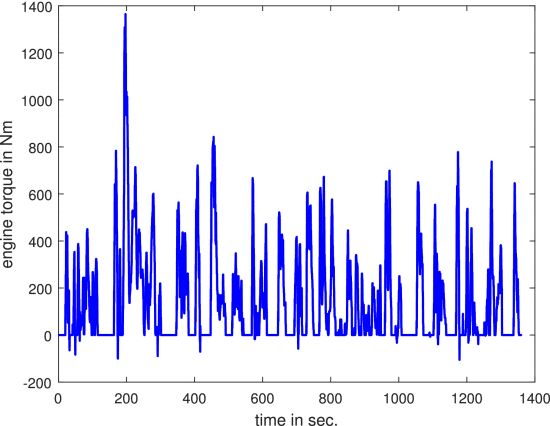


**Fig. 13.** Diesel hybrid electric vehicle speed response in rpm.

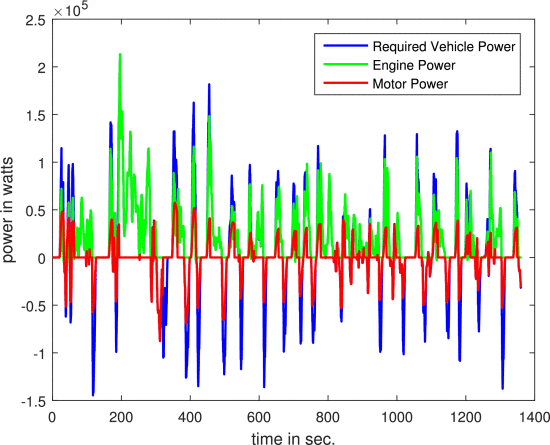


**Fig. 14.** Zoomed-in view of the vehicle speed response in rpm.

Fig. 15 shows the internal combustion engine's torque response. Fig. 16 shows the required vehicle power, the power from internal combustion engine, and the power from permanent magnet traction motors.

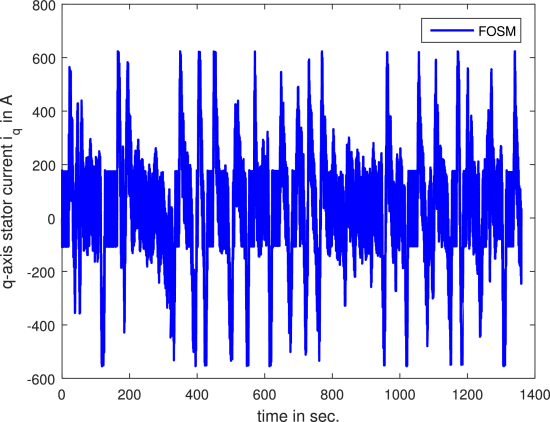


**Fig. 15.** Internal combustion engine torque response.

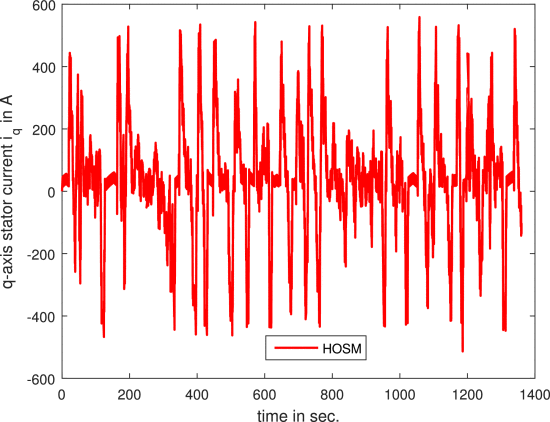


**Fig. 16.** Vehicle required power, engine power and motor power.

Fig. 17 and Fig. 18 compare the quadrature-axis stator current  's responses based on the first-order and higher-order field oriented sliding mode control approaches, respectively. Noted that the  -axis stator current  is proportional to the developed motor torque for SPM motors. It can be found that chattering is much more pronounced in the first-order FOSMC's iq response.

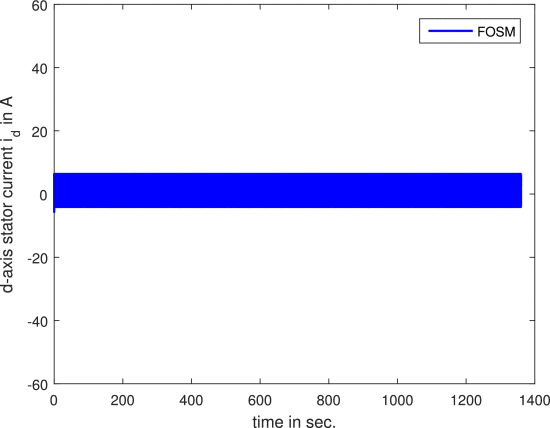


**Fig. 17.** Quadrature-axis stator current using the first-order FOSMC.

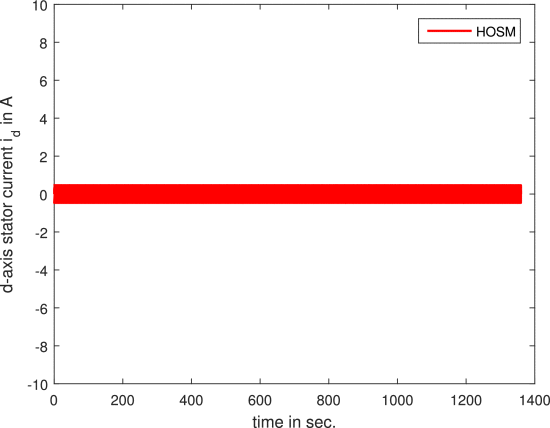


**Fig. 18.** Quadrature-axis stator current using the higher-order FOSMC.

Similarly, the direct-axis stator current  responses are summarized in Fig. 19 and Fig. 20. Fig. 19 is based on the first-order field oriented sliding mode control approach, and Fig. 20 is based on the higher-order approach.  is successfully regulated to be 0, in order to maximize the developed electromechanical torque of the PM traction motors. Again, chattering is much more noticeable in the first order FOSMC's  response.

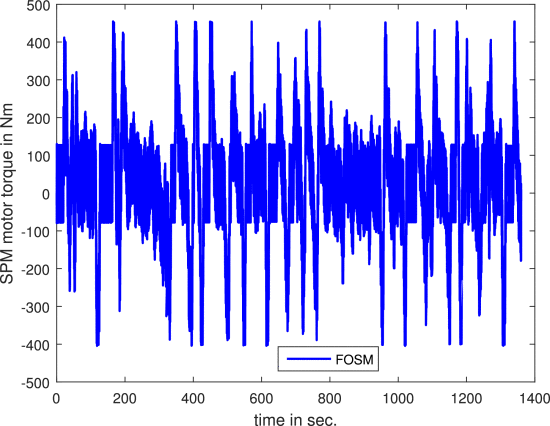


**Fig. 19.** Direct-axis stator current using the first-order FOSMC.

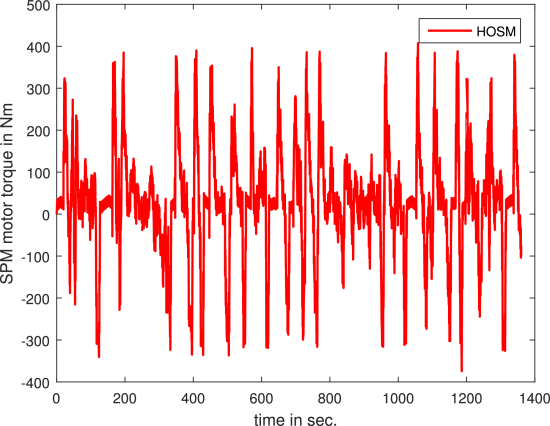


**Fig. 20.** Direct-axis stator current using the higher-order FOSMC.

Lastly, Fig. 21 and Fig. 22 compare the developed electromechanical torque response from each SPM traction motor by using the first-order and higher-order field oriented sliding mode control, respectively. The first-order field oriented sliding mode control shows conspicuous chattering, while the higher-order field-oriented sliding mode greatly reduces the chattering in torque response.



**Fig. 21.** SPM traction motor torque response using the first-order FOSMC.



**Fig. 22.** SPM traction motor torque response using the higher-order FOSMC.

# SECTION VIII. Conclusion

This paper has proposed the novel theory, design, simulation and implementation of both first- and higher-order field oriented sliding mode control (FOSMC) of surface-mounted permanent magnet AC motors with applications to electrified vehicles. Hardware experimental results and computer simulation studies have demonstrated that the higher-order sliding mode controller is superior to the first-order scheme by offering a faster transient response and eliminating the chattering phenomenon. Furthermore, the proposed field oriented sliding mode control methods have also been successfully applied in permanent magnet traction motor control of a heavy-duty diesel hybrid electric vehicle tested under UDDS urban driving schedule.

**Abstract:**

Permanent magnet ac motors have been extensively utilized for adjustable-speed traction motor drives, due to their inherent advantages including higher power density, superior efficiency and reliability, more precise and rapid torque control, larger power factor, longer bearing, and insulation life-time. Without any proportional-and-integral (PI) controllers, this paper introduces novel first- and higher-order field-oriented sliding mode control schemes. Compared with the traditional PI-based vector control techniques, it is shown that the proposed field oriented sliding mode control methods improve the dynamic torque and speed response, and enhance the robustness to parameter variations, modeling uncertainties, and external load perturbations. While both first- and higher-order controllers display excellent performance, computer simulations show that the higher-order field-oriented sliding mode scheme offers better performance by reducing the chattering phenomenon, which is presented in the first-order scheme. The higher-order field-oriented sliding mode controller, based on the hierarchical use of supertwisting algorithm, is then implemented with a Texas Instruments TMS320F28335 DSP hardware platform to prototype the surface-mounted permanent magnet ac motor drive. Last, computer simulation studies demonstrate that the proposed field-oriented sliding mode control approach is able to effectively meet the speed and torque requirements of a heavy-duty electrified vehicle during the EPA urban driving schedule.

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**Publisher:** IEEE

**SECTION I.**

Introduction and Motivation

Thanks to the latest development of AC electric motors and battery technologies, a wide range of electrified vehicles hit the production line and become commercially available. From the rise of electrified heavy-duty trucks, such as Tesla Semi-truck, to the huge market growth of passenger-type hybrid electric vehicles, such as Toyota Prius, the future of transportation industry and market will be dominated by all types of electrified vehicles, including pure electric vehicles (PEVs), hybrid electric vehicles (HEVs), and fuel-cell vehicles (FCVs).

Besides reduction of the carbon dioxide emission and high energy efficiency, the three distinct advantages of electrified vehicles have been summarized by Hori in [1] as follows:

1. *Precise and fast toque generation from electric motors:* The electric motor's torque response is typically within several milliseconds, whereas the response of an internal combustion engine or a hydraulic braking system is 10 to 100 times slower. Utilizing this essential feature, advanced control of traction motors enables dynamically changing the vehicle's characteristics without changing the driver's behavior. Anti-lock braking system (ABS) and traction control system (TCS) can be cooperated and integrated together, since motor can produce both acceleration and deceleration torques. Battery energy savings can be optimized by using regenerative braking and low-drag tires.
2. *A motor can be attached to each wheel:* Toque from smaller-sized motor-wheel sets can be controlled independently and cooperatively, which leads to safety and performance improvements of electrified vehicles. Distributed motor location can enhance the performance of vehicle stability control (VSC), which is not achievable in traditional internal combustion engine (ICE) based vehicles.
3. *Motor torque can be easily measured:* The driving and braking torque generated from electric motors enjoys much smaller uncertainties, compared to that of an IC engine or hydraulic brake. Based upon current measurement, driving force observer can be developed to estimate the driving and braking force between tire and road in real-time, which enables control technologies to safely governs the vehicle traction based on road condition estimation.

For aforementioned electrified vehicles traction control, adjustable speed permanent magnet AC motor (PMAC) drives have been extensive employed. As an example, the 8-pole interior-mounted permanent magnet AC motors (IPMs) are commonly used in Toyota Prius for traction and regenerative braking. The popularity of poly-phase permanent magnet AC motors should be attributed to their inherent advantages of more accurate and faster torque control, larger torque to inertia ratio, higher power density, longer bearing and insulation life-time, larger power factor, superior efficiency and reliability, when compared to other types of electric motor drives.

Over the past decades, the vast majority of academic and industrial effort approaches high performance real-time PMAC motor control challenge by means of *field oriented control* (FOC) and *direct torque control* (DTC) [2], also known as vector control. Without the large current harmonics or torque ripples inherent in direct torque control [3]- [6], field oriented control is traditionally executed through proportional-integral (PI) controllers. Although a PI controller enjoys the advantage of simplicity and the ease of implementation, its design process suffers from the following distinct drawbacks:

1. A PI controller may not provide satisfactory transient performance, since it does not take *load perturbations, external disturbances, parameters variations and modeling uncertainties* into account. Therefore, a PI controller is not a very robust linear controller.
2. In order to design PI-based field oriented controllers, *decoupling system is needed to convert the nonlinear PM motor dynamics into classical single-input-single-output (SISO) systems*, so that PI control gain can be computed based on the chosen phase and gain margins to meet the stability requirements of linear control. Discrepancies between the actual nonlinear PMAC motor dynamics and the linear SISO models employed for PI controller design deteriorate the field oriented control performance, in which the stability of closed-loop feedback AC motor control systems is also compromised.

Actually, in additional to coupled nonlinearities, permanent magnet synchronous motor drives face with parameter variations, modeling uncertainties and extraneous load perturbations. Unfortunately, conventional linear control approaches, including aforementioned proportional-integral (PI) approach and linear quadratic regulator (LQR) method cannot achieve sufficiently high performance for permanent magnet AC motor systems due to the inherent limitations. Hence, a high performance nonlinear control scheme would be desirable to overcome these difficulties in practical electrified vehicle applications, which guarantees fast and stable transient behavior, quick toque and speed recovery from disturbances and perturbations, and robustness against system parameter variations and modeling uncertainties.

To address this application-oriented challenge, many nonlinear control methods have been recently developed as compelling alternatives to classical PI controllers. These nonlinear controls include fuzzy control, robust control, state dependent Riccati equation based control, model predictive control, feed-forward control, adaptive control, intelligent control, neural-network control, feedback linearization, and sliding mode control, which have been studied and reported in literature [7]–[32] . In [7], the fuzzy control methods have limitations to choose the appropriate membership functions and fuzzy rules for the fuzzy inference motion control system. Robust control, such as H∞ control method in [8], requires complicated design processes and much more complicated numerical solvers to compute the control solution. The state dependent Riccati equation control requires the solutions from Riccati equation at each time-step [9], [10] . If the Riccati equation solution is infeasible, then the motor control is failed. In [11], model predictive control (MPC) can provide good performance in instantaneous current control for motor drive, but MPC is not robust. An intelligent control or a neural network-based control technique requires a huge amount of computation complexity, while its control performance is not guaranteed, and there is no stability warranty [12], [13]. Linear quadratic regulator (LQR) based optimal vector control of PMSM in dq synchronous coordinate frame with state feedback is reported in [14]. However, LQR optimal control is designed for torque and speed regulation around the steady-state operating point. Furthermore, LQR control is not robust, and can be sensitive to model uncertainties, external disturbances and extraneous noise. [15] proposes a modified vector-controlled IPM drive system with the purpose of minimizing copper losses based upon a voltage-constrained tracking in the field weakening control. The approach is designed based on steady state voltage equations, i.e. the time derivatives of currents are ignored. [16] presents a combined adaptive control, fuzzy logic, neural network and genetic algorithm based control of a linear induction motor drive, which is complicated to be implemented in practice, and without stability guarantee. Different from the feedback linearization method used in [17], [18] applies the Hamiltonian of nonlinear optimal control theory to achieve the feedback linearization control of PM synchronous machines operating with varying speed/torque. Feedback linearziation requires transformation must be a diffeomorphism, i.e., the transformation must be invertible. But in practice, the transformation of motor model can only be locally diffeomorphic, the feedback linearziation results only hold within a small neighborhood of equilibrium point, therefore, the method in [18] also have limitations for practical motor-drive applications.

It should be mentioned the Maximum Torque Per Ampere (MTPA) control technique has been widely utilized as a practical interior-mounted permanent magnet synchronous motor (IPM) motion control solution [19]–[23]. For a given magnitude of current vector, the stator current vector can be controlled using MTPA control to maximize the developed torque. The MTPA method has been extensively used, as it can be conveniently implemented with PI controllers, while minimizing the copper loss. However, MTPA cannot be applied above the rated speed due to the voltage limit. [19] proposes the high-performance current regulator to improve current responses in high-speed flux-weakening region by a feed-forward compensator. This control strategy has been widely adopted in constant torque operating range to achieve fast transient and high-efficiency operation of IPM drive systems.

Sliding modes are well-known for their robustness against parameter variations, modeling uncertainties, external disturbances and perturbations in the mathematical description of physical systems [24]-[32]. Compared with a proportional-integral (PI) controller, a sliding mode scheme improves the dynamic performance, reduces the response-time and overshoot, provides perfect decoupling, and enhances the overall stability of permanent magnet AC motor drives.

Extending our previous effort in [33], [34], where we focus on first and higher-order sliding mode theory development, this manuscript presents novel first and higher-order *field oriented sliding mode control* strategies to develop high performance surface-mounted permanent magnet AC motor drives (SPMs) operating smoothly and robustly over the full-speed range, with the maximized electromechanical torque output. The main contributions of this manuscript are summarized as follows:

1. The proposed *field oriented sliding mode control* scheme (FOSMC) enjoys the combined advantages of field oriented control and sliding mode control. On the one hand, the field oriented control scheme maximizes the developed electromechanical torque by setting the flux and stator current vectors orthogonal with each other, since the developed electromechanical torque can be expressed as the outer product of the two vectors. On the other hand, the sliding mode control scheme can guarantee faster transient behavior, less overshoot, smaller steady state error, less sensitive to model parameter variations and load torque perturbations, when compared to PI or LQR based linear controllers.
2. This manuscript approaches the chattering problem by utilizing the novel higher-order FOSMC control method based on the hierarchical use of the super-twisting algorithm. The ideal property of super-twisting algorithm (STA) is that it does not require any time-derivatives of the sliding variables.
3. To confirm the effectiveness and robustness of the proposed field oriented sliding mode control, experiments are carried out with computer simulations and hardware implementations involving a Texas Instruments TMS320F28335 DSP based prototype platform.
4. A heavy-duty hybrid electric vehicle is modeled and controlled with the proposed higher-order sliding mode controller. The EPA Urban Dynamometer Driving Schedule (UDDS) is used for the reference test-drive input to the vehicle. Simulation studies verify that the proposed controller is able to nearly perfectly track the speed reference.

The remainder of this manuscript is structured as follows: Section II establishes the dynamic modeling of surface-mounted permanent magnet synchronous motors. The proposed field oriented sliding mode control scheme is introduced and compared with the traditional proportional-integral (PI) based field oriented control scheme. Section III presents the overview of sliding mode control theory. Section IV gives the detailed derivation of the first-order field oriented sliding mode controller. Section V presents the detailed design of the higher-order sliding mode controller. The implementation of Texas Instruments TMS320F28335 DSP based platform are summarized in Section VI. Section VII provides the modeling of hybrid electric vehicle, and numerical simulation of hybrid electric vehicle controlled with the field-oriented sliding mode control under the UDDS driving cycle. Finally, we conclude the paper in Section VIII by highlighting directions for future work.

**SECTION II.**

Dynamics of Surface-Mounted Permanent Magnet AC Motors

Consider the dq synchronous frame model of a 3-phase surface-mounted permanent magnet synchronous motor (SPM) given as [35] :

diddt=−RsLid+P2ωriq+1Lud(1)

View SourceRight-click on figure for MathML and additional features.

diqdtdωrdt=−RsLiq−P2ωrid−P2ωrΦmL+1Luq=τeJ−τmJ−BωrJ,(2)(3)

View SourceRight-click on figure for MathML and additional features.where ud and uq are the stator voltage component defined in dq synchronous reference frame; id and iq are the stator current components defined in dq synchronous reference frame; ωr is the rotor speed in mechanical rad/sec.; P is the number of poles; Φm is the permanent magnet rotor flux linkage; J is the rotor moment of inertia; B is the viscous damping coefficient; τm is the load torque; Rs is the armature winding resistance; and L is the stator inductance. The developed electromechanical torque τe can be expressed as:

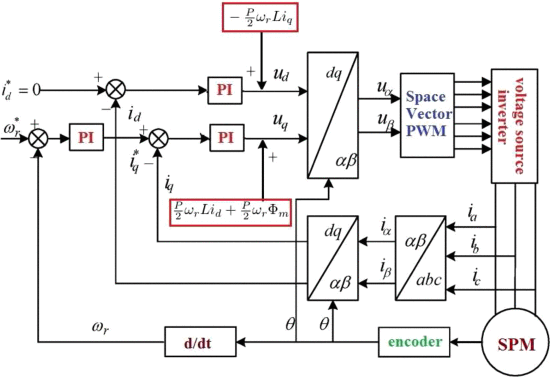
τe=3P4Φmiq(4)

View SourceRight-click on figure for MathML and additional features.It should be noted that for SPM, there is no reluctance torque component existed in [(4)](https://ieeexplore.ieee.org/document/#deqn4), since the direct- and quadrature-axis stator inductances are the same, i.e., Ld=Lq=L.

Notice that motor dynamics [(1)](https://ieeexplore.ieee.org/document/#deqn1)– [(3)](https://ieeexplore.ieee.org/document/#deqn2-deqn3) are cross-coupled nonlinear equations. For traditional field oriented control scheme, the development of proportional-integral (PI) based controllers requires decoupling and back-emf compensation as shown in [(6)](https://ieeexplore.ieee.org/document/#deqn5-deqn6). Decoupling systems are essential to convert the nonlinear coupled dynamics into single-input-single-output linear models. By performing the decoupling stage as shown in Fig. 1, the two inputs to space-vector pulse-width-modulation scheme, ud and uq, can be expressed as

uduq=(PI)(i∗d−id)−P2ωrLiq=(PI)(i∗q−iq)+P2ωrLid+P2ωrΦm(5)(6)

View SourceRight-click on figure for MathML and additional features.where PI stands for a standard proportional-integral controller.

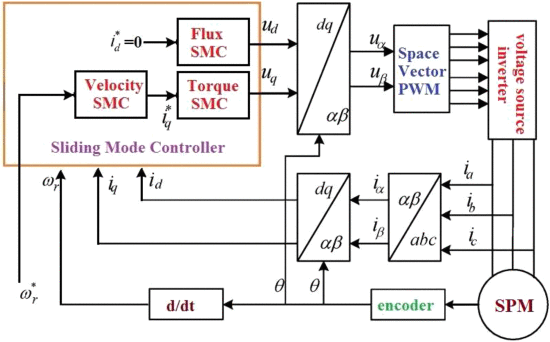
[[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang1-2865905-large.gif)](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang1-2865905-large.gif)

**Fig. 1.**

Block diagram of the traditional proportional-integral based field oriented control.

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Different from the traditional PI-based field oriented scheme, the proposed field-oriented sliding mode control of surface-mounted permanent magnet AC motors (SPMs) does not involve any decoupling blocks as shown in Fig. 2. With the measurement feedback of id, iq , ωr, the proposed field oriented sliding mode control can be achieved by implementing velocity sliding mode controller, flux sliding mode controller and torque sliding mode controller. The orthogonality of flux and current space vectors are guaranteed by aligning the armature current vector along the q-axis, i.e., i∗d=0, and the flux vector along the d-axis. An encoder or resolver-to-digital converter (RDC) can provide the mechanical rotor position θr and speed ωr information in real-time as shown in Fig. 2.

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**Fig. 2.**

Block diagram of the proposed field oriented sliding mode control.

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**SECTION III.**

Sliding Mode Control Theory

One of the most intriguing aspects of a sliding mode controller is that by utilizing a discontinuous control approach whose primary function is to rapidly switches between two distinct continuous manifolds, the controlled system dynamics is forced to track a predetermined trajectory known as the sliding surface [30], [31].

Consider the following input-affine nonlinear dynamics

x˙(t)=f(x,t)+h(x,t)⋅u(t),(7)

View SourceRight-click on figure for MathML and additional features.where x∈Rn denotes the state-space variable. f(x,t) and h(x,t) are smooth n×n and n×m nonlinear vector functions, respectively. The discontinuous control input u∈Rm is expressed as

u={U+(x,t)U−(x,t)if s(x)>0if s(x)<0,(8)

View SourceRight-click on figure for MathML and additional features.where s(x)=(s1(x),…,sm(x))T defines the sliding manifold, while si(x)=0,∀i=1…m describe the m sliding surfaces.

The aforementioned closed-loop control system exhibits sliding mode properties if the following reachability, existence, and stability conditions are satisfied:

1) *Reachability condition:* ensures that state trajectory will approach and eventually reach the sliding manifold, by satisfying the following condition:

s(x,t)s˙(x,t)<0(9)

View SourceRight-click on figure for MathML and additional features.

2) *Existence condition:* guarantees that once state trajectory is within the neighborhood of sliding manifold, it will be directed toward the sliding surface, by meeting the following requirement:

lims→ 0s(x,t)s˙(x,t)<0(10)

View SourceRight-click on figure for MathML and additional features.

3) *Stability condition:* secures that the sliding manifold will direct the state trajectory toward the stable equilibrium point, which can be obtained by checking the stability in steady-state.

Now, we are in the position to describe the main results, which provide optimal and robust solutions for the field oriented sliding mode control of a surface-mounted permanent-magnet synchronous motor.

**A. Sliding Surfaces**

The sliding manifold s(x,t)=(sd,sq,sωr)T of the field-oriented sliding mode control is governed by

sd=sq=sωr=id−i∗d=0iq−i∗q=0ωr−ω∗r=0(11)(12)(13)

View SourceRight-click on figure for MathML and additional features.

**B. Parameter Uncertainties**

Considering modeling uncertainties, unmodeled dynamics, and parameter variations in the permanent magnet AC motor dynamics, the following notations are introduced: Rs=R^s+ΔRs; L=L^+ΔL; Φm=Φ^m+ΔΦm; τm=τ^m+Δτm; J=J^+ΔJ; and B=B^+ΔB. Note that ⋅^ denotes the nominal value, and Δ⋅ denotes the bounded parameter uncertainty/variation.

**SECTION IV.**

First-Order Sliding Mode Control Design

**A. First-Order Flux Sliding Mode Control**

The d-axis magnetic-flux control law is given as

ud=ud,eq+ud,N(14)

View SourceRight-click on figure for MathML and additional features.where ud is the direct-axis stator voltage, ud,eq is the equivalent control and ud,N is the switching control.

The equivalent control can be obtained from s˙d=0.

s˙d=1L[−Rsid+P2ωrLiq+ud−Ldi∗ddt](15)

View SourceRight-click on figure for MathML and additional features.

Solving for ud,eq results in

ud,eq=R^sid−P2wrL^iq+L^di∗ddt(16)

View SourceRight-click on figure for MathML and additional features.

Equivalently, s˙d in [(15)](https://ieeexplore.ieee.org/document/#deqn15) can be represented as

s˙d=1L[−ΔRid+P2ωrΔLiq+ud,N−ΔLdi∗ddt](17)

View SourceRight-click on figure for MathML and additional features.

Based upon LaSalle's invariance principle, we can obtain the switching control component guaranteeing the Lyapunov stability. Since the uncertainties present in the parameters are bounded, there exists a positive upper-bound ud0, such that

ud0>∣∣∣ΔRid+ΔLdi∗ddt−P2ωrΔLiq∣∣∣(18)

View SourceRight-click on figure for MathML and additional features.The switching control component of ud is then obtained as

ud,N=−ud0sgn(sd),(19)

View SourceRight-click on figure for MathML and additional features.where the signum function sgn() is known as

sgn(s)=⎧⎩⎨⎪⎪10−1if s>0if s=0if s<0(20)

View SourceRight-click on figure for MathML and additional features.

**B. First-Order Torque Sliding Mode Control**

The q-axis torque control law is given as:

uq=uq,eq+uq,N,(21)

View SourceRight-click on figure for MathML and additional features.where uq is the quadrature-axis stator voltage, uq,eq is the equivalent control and uq,N is the switching control.

Using the aforementioned method, we first derive s˙q to be

s˙q=1L[−Rsiq−P2ωrΦm−P2ωrLid+uq−Ldi∗qdt](22)

View SourceRight-click on figure for MathML and additional features.

The q-axis equivalent control can be obtained as follows

uq,eq=R^siq+P2ωrL^id+P2ωrΦ^m+L^di∗qdt(23)

View SourceRight-click on figure for MathML and additional features.s˙q is then rewritten as

s˙q=1L[−ΔRiq−P2ωrΔΦm−P2ωrΔLid+uq,N−ΔLdi∗qdt](24)

View SourceRight-click on figure for MathML and additional features.

Similarly, since the parameter uncertainties are all bounded, there exists a positive upper-bound uq0, such that

uq0>∣∣∣ΔRiq+P2ωrΔLid+P2ωrΔΦm+ΔLdi∗qdt∣∣∣(25)

View SourceRight-click on figure for MathML and additional features.The switching control component of uq is then obtained as

uq,N=−uq0sgn(sq)(26)

View SourceRight-click on figure for MathML and additional features.

The q-axis torque control action keeps iq converging to the desired reference q-axis stator current i∗q.

**C. First-Order Velocity Sliding Mode Control**

We define the q-axis velocity control law as

i∗q=i∗q,eq+i∗q,N,(27)

View SourceRight-click on figure for MathML and additional features.where iq is the quadrature-axis stator current, iq,eq is the equivalent control and iq,N is the switching control.

From the sliding surface sωr, s˙ωr is found to be

s˙ωr=3PΦm4Jiq−τmJ−BωrJ−dω∗rdt(28)

View SourceRight-click on figure for MathML and additional features.

The equivalent control becomes:

i∗q,eq=1Kt[τ^m+B^ωr+J^dω∗rdt],(29)

View SourceRight-click on figure for MathML and additional features.where

Kt=34PΦm.(30)

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Following the similar procedure as the previous control designs, there exists a positive upper-bound iq0, such that

iq0>∣∣∣1Kt(Δτm+ΔBωr+ΔJdω∗rdt)∣∣∣(31)

View SourceRight-click on figure for MathML and additional features.

The switching control component of i∗q is then obtained as

i∗q,N=−iq0sgn(sωr)(32)

View SourceRight-click on figure for MathML and additional features.

The output of q-axis velocity control, i∗q, serves as the input to q-axis torque sliding mode control in Section IV Part B.

**SECTION V.**

Higher-Order Sliding Mode Control Design

The oscillatory dynamic behavior about the sliding manifold, commonly known as chattering, exists in the first-order sliding mode scheme. Chattering effect, which is caused by the imperfections of switching devices, is the major drawback of the first-order approach. To eliminate the chattering phenomenon, the second-order sliding mode control methods is developed using the super-twisting algorithm (STA). Some preliminary results on higher-order sliding mode control are given in [30]. Sliding manifolds s for higher-order sliding modes are chosen to be the same as the first-order sliding manifolds. Therefore, the equivalent controls for higher-order sliding modes are the same ones for first-order sliding modes. STA can be summarized as follows:

Let y1=s, y2=s˙, then

y˙1y˙2=y2=s˙=∂s∂t+∂s∂xx˙=s¨=(∂s˙∂t+∂s˙∂xx˙)+∂s˙∂uu˙=ψ(x,t)+γ(x,t)u˙(33)

View SourceRight-click on figure for MathML and additional features.where

|ψ|0≤Ψ>0<Γm≤γ≤ΓM,(34)

View SourceRight-click on figure for MathML and additional features.with the lower-bound and the upper-bound of γ denoted as Γm and ΓM, respectively.

The switching control algorithm is defined by the following control law:

u~=u1+u2(35)

View SourceRight-click on figure for MathML and additional features.with

u˙1u2=−Wsgn(y1)={−λ|s0|psgn(y1)−λ|y1|psgn(y1)|y1|>|s0||y1|≤|s0|,(36)(37)

View SourceRight-click on figure for MathML and additional features.where W,λ,p are positive sliding mode constants. The corresponding sufficient conditions for finite-time convergence to the sliding surface are given as follows:

Wλ20>ΨΓm≥4ΨΓ2mΓM(W+Ψ)Γm(W−Ψ)<p≤0.5(38)(39)(40)

View SourceRight-click on figure for MathML and additional features.

*The distinct advantage of super-twisting algorithm (STA) is that it does not require any information of the time derivative of the sliding variables.* It is noteworthy that this merit is essential for real-time hardware implementation of the proposed higher-order field oriented sliding mode control (FOSMC).

Also note that, by selecting p=1, the aforementioned control algorithm converges to the sliding surface exponentially, which leads to an exponentially stable 2-sliding mode in the sense of Lyapunov. The selection of p=0.5 ensures that the maximal possible for 2-sliding realization real-sliding order 2 is achieved.

**A. Higher-Order Flux Sliding Mode Control**

For second-order sliding mode control, both s(x) and s˙(x) are set to be zero. From the Section IV part A results on s˙d

s˙d=1L[−Rsid+P2ωrLiq+ud−Ldi∗ddt](41)

View SourceRight-click on figure for MathML and additional features.s¨d is obtained by taking derivative of [(41)](https://ieeexplore.ieee.org/document/#deqn41) as

s¨d=−RsLdiddt+P2dωrdtiq+P2diqdtωr+1Lduddt−d2i∗ddt(42)

View SourceRight-click on figure for MathML and additional features.Denote

ψd=−RsLdiddt+P2dωrdtiq+P2diqdtωr−d2i∗ddt∈[−Ψd,Ψd](43)

View SourceRight-click on figure for MathML and additional features.and

γd=1L∈[Γmd,ΓMd](44)

View SourceRight-click on figure for MathML and additional features.

Denoting ζL=|ΔL|≪L^, we may choose the lower- and upper-bound Γmd,ΓMd as follows

ΓmdΓMd=1L^+ζL>0,=1L^−ζL>0(45)(46)

View SourceRight-click on figure for MathML and additional features.

Control input ud consists of the sum of equivalent control ud,eq and switching control u~d. Note that the equivalent control ud,eq is the same as [(16)](https://ieeexplore.ieee.org/document/#deqn16), and the switching control u~d is given as:

u~d=ud1+ud2(47)

View SourceRight-click on figure for MathML and additional features.with

u˙d1ud2=−Wdsgn(sd)={−λd|s0|psgn(sd)−λd|sd|psgn(sd)|sd|>|s0||sd|≤|s0|(48)(49)

View SourceRight-click on figure for MathML and additional features.

The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows:

Wdλ2d0>ΨdΓmd≥4ΨdΓ2mdΓMd(Wd+Ψd)Γmd(Wd−Ψd)<p≤0.5(50)(51)(52)

View SourceRight-click on figure for MathML and additional features.

We choose p=0.5 in this manuscript for implementing the proposed higher-order sliding mode control. The controller can be simplified by selecting s0=∞. Therefore, we have

u~d=−λd|sd|1/2sgn(sd)−Wd∫sgn(sd)dt(53)

View SourceRight-click on figure for MathML and additional features.

**B. Higher-Order Torque Sliding Mode Control**

The similar method used for the d-axis flux control is applied for developing the q-axis torque sliding mode control. Based on previous analysis in Section IV Part B, s˙q is expressed as

s˙q=1L[−Rsiq−P2ωrΦm−P2ωrLid+uq−Ldi∗qdt](54)

View SourceRight-click on figure for MathML and additional features.

By taking the second-order derivative, s¨q can be obtained as

s¨q=−RsLdiqdt−P2ωrdiddt−P2iddωrdt−P2ΦmLdωrdt+1Lduqdt−d2i∗qdt2(55)

View SourceRight-click on figure for MathML and additional features.

Denote

ψq=−RsLdiqdt−P2ωrdiddt−P2iddωrdt−P2ΦmLdωrdt−d2i∗qdt2∈[−Ψq,Ψq](56)

View SourceRight-click on figure for MathML and additional features.and

γq=1L∈[Γmq,ΓMq](57)

View SourceRight-click on figure for MathML and additional features.

Similarly, denoting ζL=|ΔL|≪L^, we may choose the lower- and upper-bound Γmq,ΓMq as follows

ΓmqΓMq=1L^+ζL>0,=1L^−ζL>0(58)(59)

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Control input uq consists of the sum of equivalent control uq,eq and switching control u~q.

uq=uq,eq+u~q(60)

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Note that the equivalent control uq,eq is the same as [(23)](https://ieeexplore.ieee.org/document/#deqn23), and the switching control u~q is given as

u~q=uq1+uq2(61)

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with

u˙q1=−Wqsgn(sq)(62)

View SourceRight-click on figure for MathML and additional features.

uq2={−λq|s0|psgn(sq)−λq|sq|psgn(sq)|sq|>|s0||sq|≤|s0|(63)

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The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows

Wqλ2q0>ΨqΓmq≥4ΨqΓ2mqΓMq(Wq+Ψq)Γmq(Wq−Ψq)<p≤0.5(64)(65)(66)

View SourceRight-click on figure for MathML and additional features.

The controller can be simplified by selecting s0=∞. Hence, u~q can be expressed as

u~q=−λq|sq|1/2sgn(sq)−Wq∫sgn(sq)dt(67)

View SourceRight-click on figure for MathML and additional features.

**C. Higher-Order Velocity Sliding Mode Control**

By applying similar methods, from the Section IV Part C, we have

s˙ωr=3PΦm4Jiq−τmJ−BωrJ−dω∗rdt(68)

View SourceRight-click on figure for MathML and additional features.

Therefore, s¨ωr is obtained by taking the second-order derivative of sωr as

s¨ωr=KtJdiqdt−1Jdτmdt−BJdωrdt−d2ω∗rdt2(69)

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Again, denote

ψωr=−1Jdτmdt−BJdωrdt−d2ω∗rdt2∈[−Ψω,Ψω](70)

View SourceRight-click on figure for MathML and additional features.and

γωr=KtJ∈[Γmω,ΓMω](71)

View SourceRight-click on figure for MathML and additional features.

Denoting ζω=|KtJ−K^tJ^|=|1J(34PΦm)−1J^(34PΦ^m)| satisfying the condition ζω≪K^tJ^ , we may choose the lower- and upper-bound Γmω,ΓMω as follows

ΓmωΓMω=K^tJ^−ζω>0,=K^tJ^+ζω>0(72)(73)

View SourceRight-click on figure for MathML and additional features.

The velocity control input is given as

i∗q=i∗q,eq+i~∗q(74)

View SourceRight-click on figure for MathML and additional features.and

i~∗q=iq1+iq2,(75)

View SourceRight-click on figure for MathML and additional features.where

i˙q1iq2=−Wωrsgn(sωr)={−λωr|s0|psgn(sωr)−λωr|sωr|psgn(sωr)|sωr|>|s0||sωr|≤|s0|(76)(77)

View SourceRight-click on figure for MathML and additional features.

The sufficient conditions for finite-time convergence to the sliding surface are summarized as follows

Wωrλ2ωr0>ΨωrΓmω≥4ΨωrΓ2mωΓMω(Wωr+Ψωr)Γmω(Wωr−Ψωr)<p≤0.5(78)(79)(80)

View SourceRight-click on figure for MathML and additional features.

The controller can be simplified by selecting s0=∞. Hence, i~∗q is obtained as

i~∗q=−λωr|sωr|1/2sgn(sωr)−Wωr∫sgn(sωr)dt(81)

View SourceRight-click on figure for MathML and additional features.

**SECTION VI.**

Experimental Results

**A. Computer Simulation Results**

The proposed field oriented sliding mode control algorithms have been examined with computer simulation studies. The testing SPM parameters are specified in Table I. The wheel-connected SPM serves as the traction motor of a heavy-duty vehicle, and we can control the torque of each wheel independently. For PI-based traditional field oriented control, the design parameters for all PI-controllers are Ki=5,Kp=50, which are fine-tuned to reduce the response overshoot and oscillation.

**TABLE I**Wheel-Connected SPM Specifications

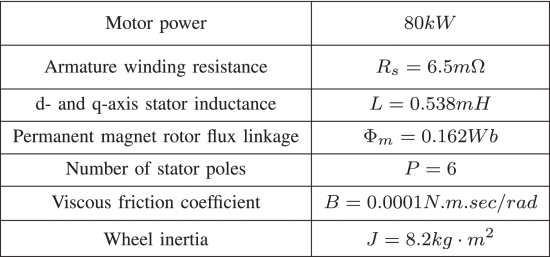
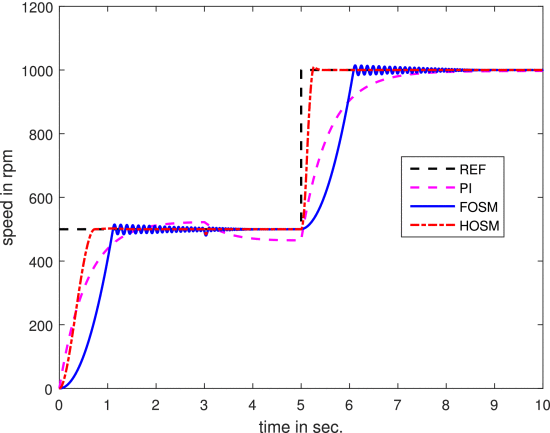
[[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang.t1-2865905-large.gif)](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang.t1-2865905-large.gif)

Fig. 3 shows the rotor speed response. The load toque is changed from 0 Nm to 25 Nm at the time instant of 3 sec. The reference speed is increased from 500 rpm to 1000 rpm at the time instant of 5 sec. The higher-order sliding mode controller shows shorter rise-time, and less chattering compared with the first-order scheme. And the traditional PI-based field oriented control shows the slowest response time, highest sensitivity to external load change, and unsatisfactory speed regulation performance with significant overshoots and undershoots.

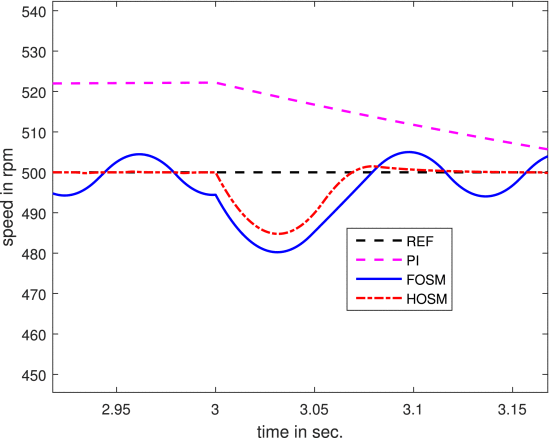
[[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang3-2865905-large.gif)](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang3-2865905-large.gif)

**Fig. 3.**

Speed response to reference speed and load torque changes.

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Fig. 4 shows the zoomed-in view of Fig. 3 speed response from 2.95 sec. to 3.15 sec. The sudden load change at 3 sec. in shown in greater detail. The higher-order sliding mode controller provides a faster response to the external load toque change from 0 to 25 Nm. The response of first-order controller can be improved by increasing the gains of switching control at the cost of much excessive chattering phenomenon. PI-based field oriented control shows the slowest response to the external load change with inadequate speed regulations.

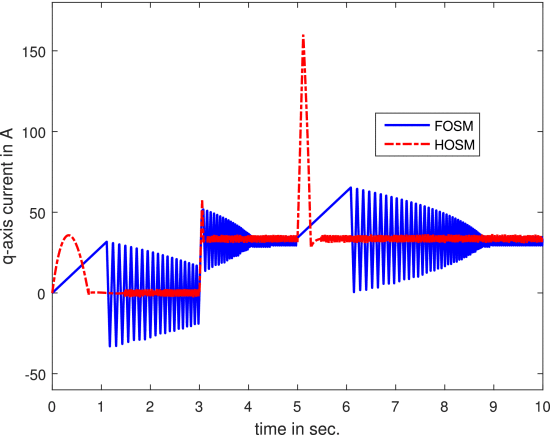
[[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang4-2865905-large.gif)](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang4-2865905-large.gif)

**Fig. 4.**

Speed response to load toque change at 3 sec.

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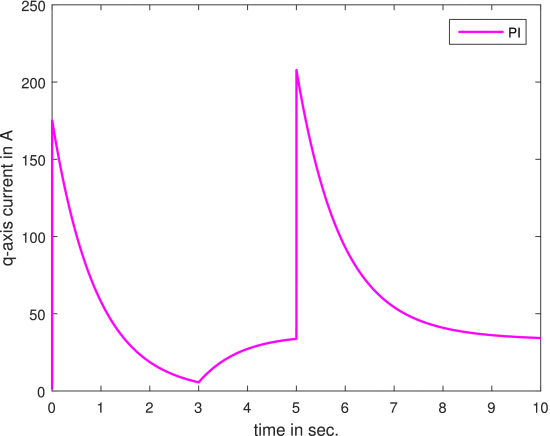
Stator current iq during the load toque and reference speed change is shown in Fig. 5. The switching control in the first-order scheme causes a high amplitude oscillation in the q -axis current in order to track the reference, and reject external disturbances and parameter uncertainties. The pronounced chattering is effectively reduced in the higher-order sliding mode controller. We did not include the traditional PI-based field oriented control iq response curve in Fig. 5, since the iq current with PI-control is significantly higher as shown in Fig. 6. Greater stator current iq means that the traditional PI-based field oriented control (PI-FOC) based motor drive consumes significantly more electrical power from the battery pack.

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**Fig. 5.**

iq response to reference speed and load torque changes with the field oriented sliding mode control (FOSMC).

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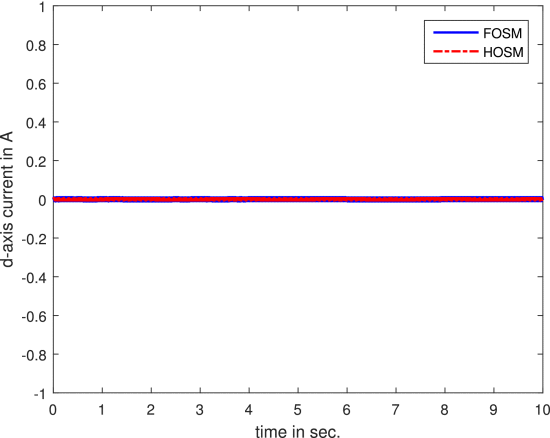
[[](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang6-2865905-large.gif)](https://ieeexplore.ieee.org/mediastore_new/IEEE/content/media/25/8531998/8438909/wang6-2865905-large.gif)

**Fig. 6.**

iq response to reference speed and load torque changes with the traditional PI-based field oriented control (PIFOC).

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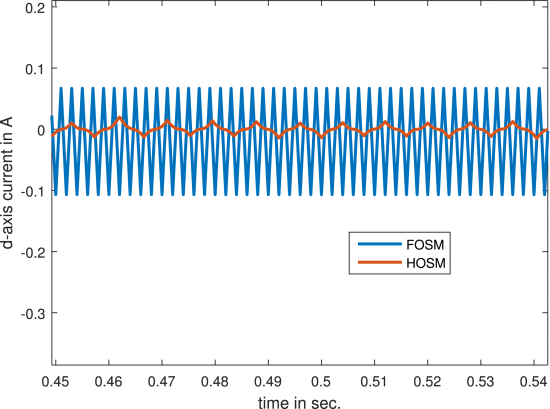
Stator current id is regulated to zero by the first- and higher-order sliding mode scheme, for achieving the field-oriented control performance, as shown in Fig. 7. A closer look of the id response is shown in Fig. 8, which shows the significant chattering reduction by using the super-twisting algorithm (STA) based higher-order field oriented sliding mode control.

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**Fig. 7.**

id response to reference speed and load torque changes with the field oriented sliding mode control (FOSMC).

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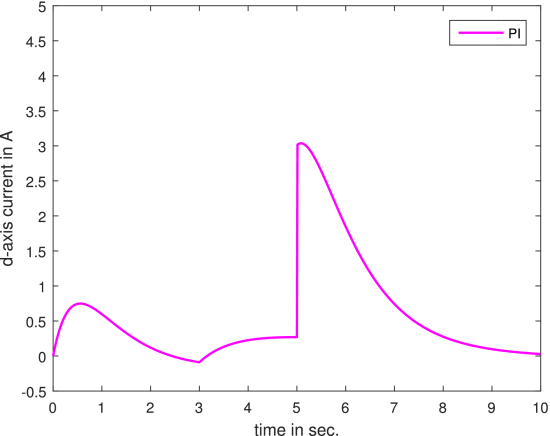
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**Fig. 8.**

zoomed-in id response with the field oriented sliding mode control (FOSMC).

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Again, we did not include the traditional PI-based field oriented control id response curve in Fig. 7, since the id current with PI-control is significantly larger in magnitude as shown in Fig. 9. Greater stator current id means that the traditional PI-based field oriented controlled motor drives have greater electrical power usage from the battery pack. The proposed field oriented sliding mode control contributes significantly to the energy saving of battery-pack.

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**Fig. 9.**

id response to reference speed and load torque changes with the traditional PI-based field oriented control (PIFOC).

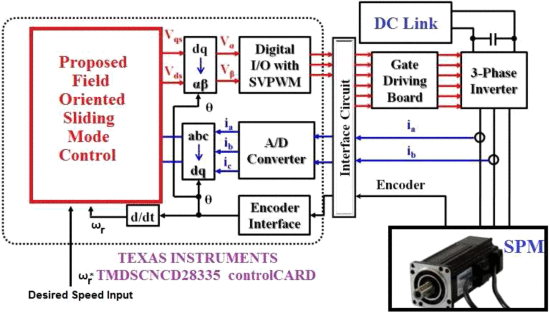
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Based on the conducted simulation results, proportional-integral (PI) control is found to provide slower response in speed and torque control with pronounced overshoots and undershoots. PI-control is much more sensitive to external load changes, disturbances and modeling uncertainties. For different load values, the Kp,Ki control parameters should be readjusted, if possible, to provide a more decent torque and speed response. PI-control based motor drives consume greater amount of stator currents, which opens the new possibility that the battery usage can be further improved and optimized though advanced vehicle motion control technologies.

While both first- and higher-order field-oriented sliding mode controllers provide excellent dynamic performance in speed and torque response, computer simulation results verify that the higher-order sliding mode control method eliminates chattering in the first-order sliding mode scheme, becomes more robust to external load variations, rejects modeling parameters variation, and offers superior performance of quick and accurate torque generation for SPM motors.

**B. Hardware Experimental Results**

As shown in Fig. 10, the experiment is performed with a three-phase Anaheim Automation EMJ-04APA22, 0.4kW, 200V, 2.7A, 3000RPM permanent magnet synchronous motor. The SPM is powered by POWEREX PS21765, 600V, 20A, dual-in-line intelligent power module, which includes 6-IGBT inverter, and 3 half-bridge high-voltage integrated-circuit (HVIC) for IGBT gate driving. Space-vector pulse-width-modulation (SVPWM) is used as modulation strategy. The PWM voltage source inverter switching frequency is 10 kHz, while the PWMDAQ is 60kHz. The Texas Instruments 150MHz floating-point TMS320F28335 DSP controller is used, which is a 32 bit floating point digital signal processors with analog interface, RS232 and JTAG emulator port. The TMS320F28335 microprocessor is integrated on the TMDSCNCD28335 controlCARD board, which has analog-to-digital converter (A/D) with 16 channels. The proposed field oriented sliding mode control algorithms have been examined and implemented in real-time with the Texas Instrument TMS320F28335 DSP hardware platform. The parameters and specifications of Anaheim Automation EMJ-04APA22 PMSM are summarized in Table II.

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**Fig. 10.**

Experimental setup of prototype SPM drive system with Texas Instruments TMS320F28335 DSP.

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**TABLE II**Anaheim Automation EMJ-04APA22 SPM Parameters

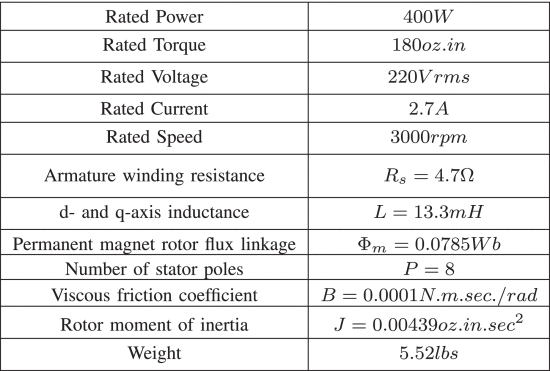
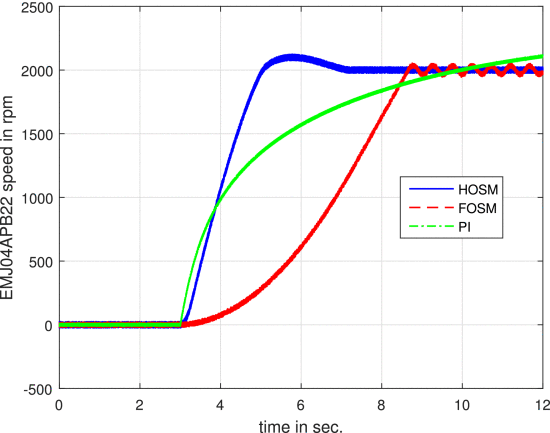
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Fig. 11 shows the experimental result of motor speed response with a reference speed of 2000 rpm. A step input speed reference is applied around 3 sec. Based on the DSP hardware experimental results, it is found that the higher-order field oriented sliding mode control (FOSMC) based SPM motor drive can provide quick and accurate real-time motion control. While PI-based traditional field oriented control shows overshoot and relatively slower response time. And the first-order field oriented sliding mode control shows quite significant chattering.

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**Fig. 11.**

Anaheim Automation EMJ-04APA22 SPM speed response.

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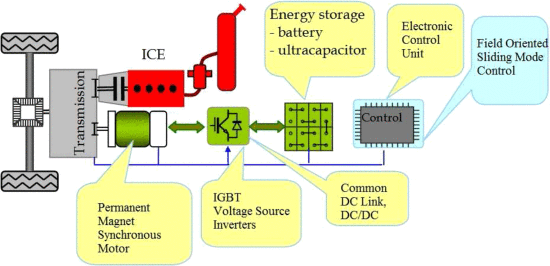
**SECTION VII.**

Applications to Hybrid Electric Vehicles

Electrified vehicles is the most exciting target of advanced motion control technologies. The proposed field oriented sliding mode control (FOSMC) is applied for motion control of a heavy-duty diesel hybrid electric vehicle (HEV) to prove the effectiveness of this new method.

**A. Vehicle Specifications**

To reduce the energy consumption and improve vehicle's dynamic performance, the drive-train of this vehicle is composed of a V8 turbocharged diesel internal combustion engine (ICE) and 4 surface-mounted permanent magnet synchronous motors (SPMs). Regenerative braking is also considered in the computer simulation. Table III–VI summarize the detailed specifications of this heavy-duty diesel-HEV. And the overall vehicle structure is sketched in Fig. 12.

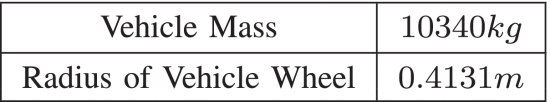
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**Fig. 12.**

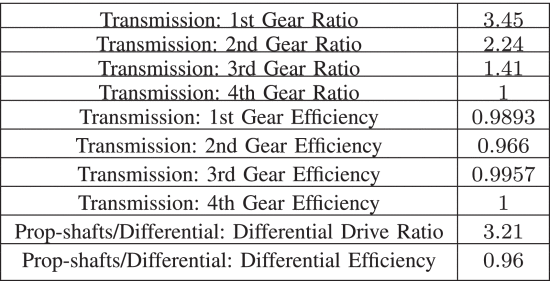
Hybrid electric vehicle block diagram.

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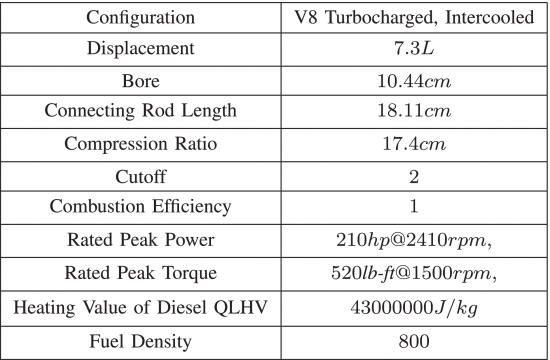
**TABLE III**Vehicle Specifications

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**TABLE IV**Transmissions Specifications

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**TABLE V**Diesel ICE Specifications

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**TABLE VI**Electric Motor Specifications

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The EPA Urban Dynamometer Driving Schedule (UDDS), which is commonly known as the ”LA4” or ”the city test” and represents city driving conditions [36], is applied as the driving schedule for this diesel-HEV simulation study.

**B. Diesel-HEV Modeling and Vehicle Operation Modes**

The power required by the diesel hybrid electric vehicle is

W˙req=[RL+(M+Mr)a]v,(82)

View SourceRight-click on figure for MathML and additional features.where W˙req is the power required at the wheels to accelerate the vehicle and overcome drag, rolling resistance, and climbing force. The vehicle speed is v and the acceleration is a. The road load is

RL=12ρv2CDA+fW+Wsinθ,(83)

View SourceRight-click on figure for MathML and additional features.where the first part is aerodynamic drag, the second part is the rolling resistance force and the third part is the climbing force. M is the vehicle full loading mass and the effective mass. The equivalent mass of the rotating components Mr can be obtained from the following equation:

Mr=M(1+0.04NtNf+0.0025N2tN2f)−M(84)

View SourceRight-click on figure for MathML and additional features.Nt and Nf are the gear ratios for the final drive (differential) and transmission.

The following notations are introduced before we discuss the vehicle operation modes: SOC denotes the state of charge (SOC) in the battery-pack; W˙req is the required road-load power; W˙fric is the power dissipated by friction brakes; ηf is the differential efficiency; ηt is the transmission efficiency; ηm is the motor drive efficiency; W˙engine is the engine's output power; and W˙motor is the SPM motor's output power.

Based on the power distribution between SPM motors and ICE, the following diesel-HEV operation modes are defined and applied for computer simulation studies. The power management logic governs different vehicle operation modes to achieve the power boosting during acceleration, and regenerative braking during deceleration.

* Pure electric vehicle (PEV) mode: When the required road-load power is positive W˙req>0, and the SOC is greater than a preset maximum threshold value SOCmax, i.e. SOC>SOCmax, the vehicle is completely driven by SPM motors and operated as a pure electric vehicle. Hence, when the battery is close to fully charged, the engine is shut off, the vehicle is operated as a PEV. The power required at the propeller shaft W˙reqηf equals to the power delivered to the propeller shaft by traction motors.

W˙reqηf=ηmW˙motor(85)

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* Power boost mode: When the required road-load power W˙req>0, given battery state of charge is greater than a preset minimum threshold value SOCmin, but is less than a preset maximum threshold value, i.e., SOCmax>SOC>SOCmin, the following equation holds

W˙reqηf=ηtW˙engine+ηmW˙motor(86)

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The power required at the propeller shaft equals to the power delivered to the propeller shaft by the engine and SPMs, which is ηtW˙engine+ηmW˙motor .

* Pure ICE mode: When W˙req≥0 and the battery needs to be charged SOC<SOCmin, the HEV is operated in all ICE mode. Thus, we have

W˙reqηf=ηtW˙engine(87)

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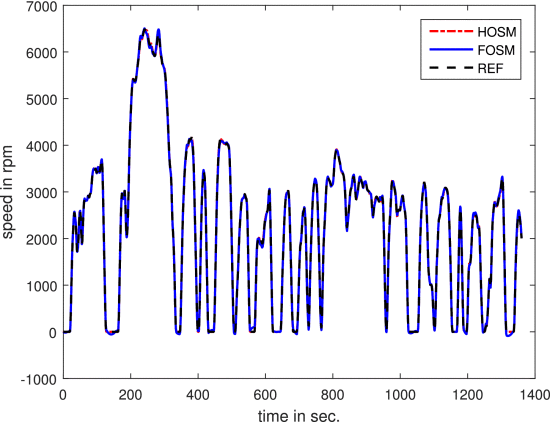
* Regenerative braking mode: When the vehicle is decelerating or declining a hill, the vehicle kinetic energy can be stored by operating the motor in the generator mode for recharging the battery. Under this condition, engine is shut off, and W˙req≤0. The power delivered to propeller shaft by the differential W˙reqηf satisfies

ηfW˙req=W˙motorηm+W˙fric(88)

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**C. Heavy-Duty Diesel-HEV Simulation Results**

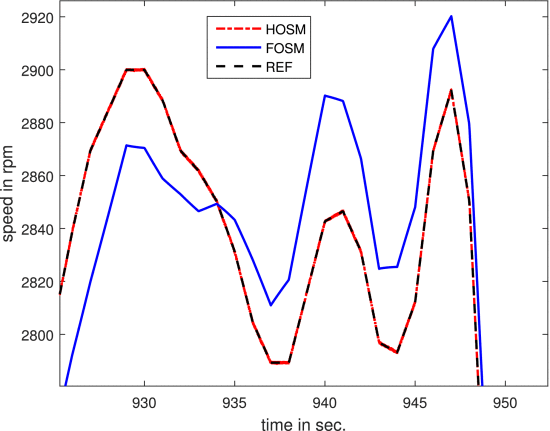
The computer simulation results for heavy-duty diesel hybrid electric vehicle (HEV) are summarized in this section. To demonstrate the effectiveness of the proposed field oriented sliding mode control (FOSMC) in vehicle motion control applications. Fig. 13 shows the first-order and higher-order field oriented sliding mode control based diesel-HEV track the scheduled speed for the entire drive cycle. Fig. 14 show the zoomed-in view of HEV speed response comparisons. It is found that the higher-order FOSMC (labeled as “HOSM”, and shown in red dash-dot line) tracks the reference speed (labeled as “REF”, and shown in black dashed line) more closely than the first-order FOSMC approach (labeled as “FOSM”, and shown in blue solid line) throughout the entire drive cycle.

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**Fig. 13.**

Diesel hybrid electric vehicle speed response in rpm.

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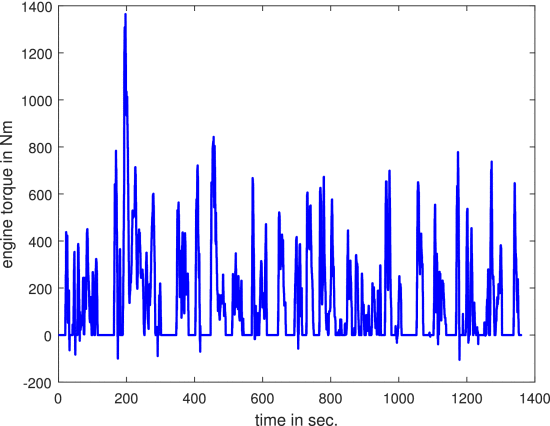
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**Fig. 14.**

Zoomed-in view of the vehicle speed response in rpm.

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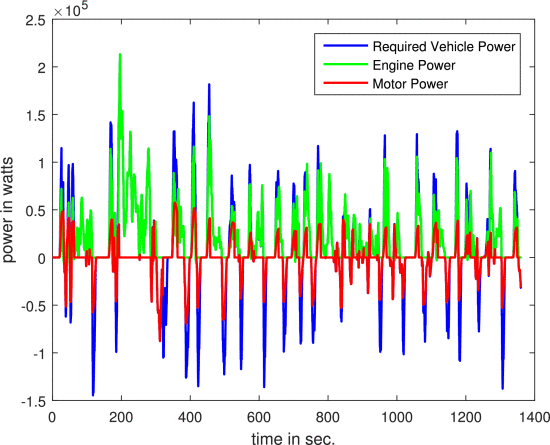
Fig. 15 shows the internal combustion engine's torque response. Fig. 16 shows the required vehicle power, the power from internal combustion engine, and the power from permanent magnet traction motors.

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**Fig. 15.**

Internal combustion engine torque response.

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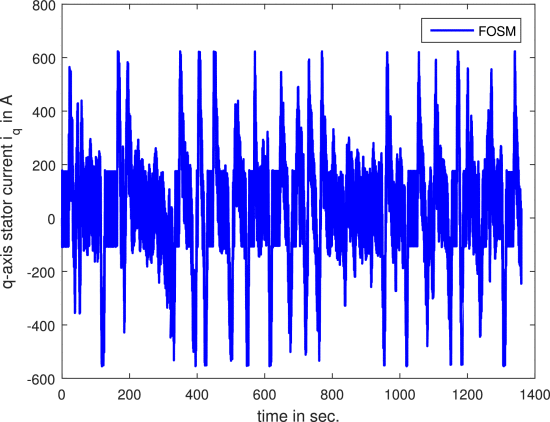
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**Fig. 16.**

Vehicle required power, engine power and motor power.

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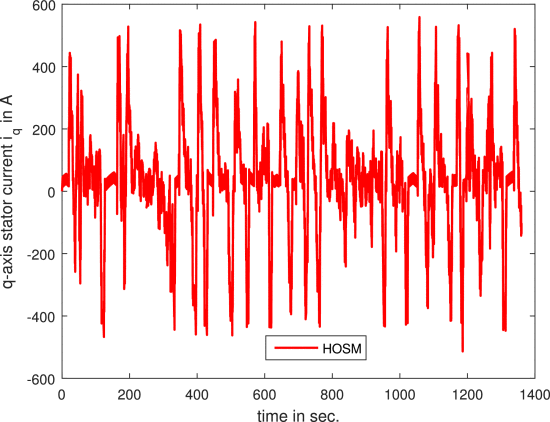
Fig. 17 and Fig. 18 compare the quadrature-axis stator current iq 's responses based on the first-order and higher-order field oriented sliding mode control approaches, respectively. Noted that the q-axis stator current iq is proportional to the developed motor torque for SPM motors. It can be found that chattering is much more pronounced in the first-order FOSMC's iq response.

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**Fig. 17.**

Quadrature-axis stator current using the first-order FOSMC.

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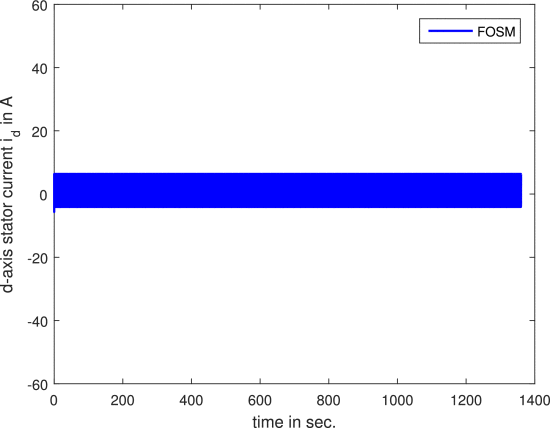
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**Fig. 18.**

Quadrature-axis stator current using the higher-order FOSMC.

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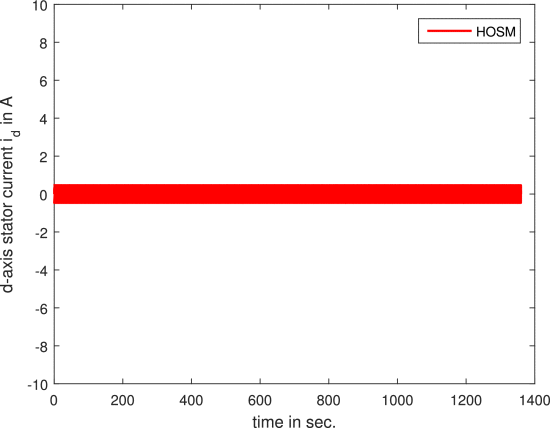
Similarly, the direct-axis stator current id responses are summarized in Fig. 19 and Fig. 20. Fig. 19 is based on the first-order field oriented sliding mode control approach, and Fig. 20 is based on the higher-order approach. id is successfully regulated to be 0, in order to maximize the developed electromechanical torque of the PM traction motors. Again, chattering is much more noticeable in the first order FOSMC's id response.

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**Fig. 19.**

Direct-axis stator current using the first-order FOSMC.

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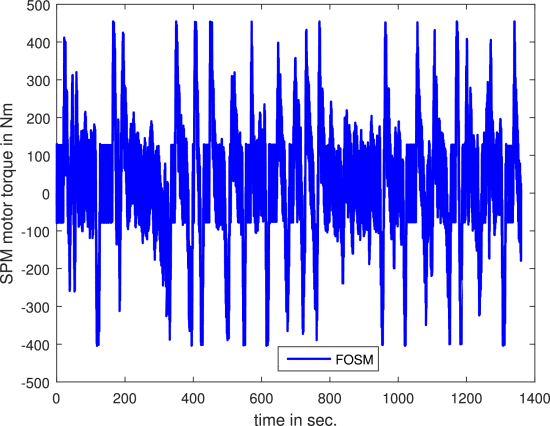
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**Fig. 20.**

Direct-axis stator current using the higher-order FOSMC.

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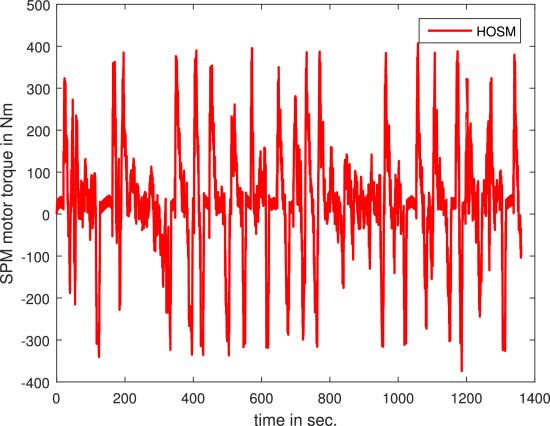
Lastly, Fig. 21 and Fig. 22 compare the developed electromechanical torque response from each SPM traction motor by using the first-order and higher-order field oriented sliding mode control, respectively. The first-order field oriented sliding mode control shows conspicuous chattering, while the higher-order field-oriented sliding mode greatly reduces the chattering in torque response.

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**Fig. 21.**

SPM traction motor torque response using the first-order FOSMC.

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**Fig. 22.**

SPM traction motor torque response using the higher-order FOSMC.

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**SECTION VIII.**

Conclusion

This paper has proposed the novel theory, design, simulation and implementation of both first- and higher-order field oriented sliding mode control (FOSMC) of surface-mounted permanent magnet AC motors with applications to electrified vehicles. Hardware experimental results and computer simulation studies have demonstrated that the higher-order sliding mode controller is superior to the first-order scheme by offering a faster transient response and eliminating the chattering phenomenon. Furthermore, the proposed field oriented sliding mode control methods have also been successfully applied in permanent magnet traction motor control of a heavy-duty diesel hybrid electric vehicle tested under UDDS urban driving schedule.

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